

#### DEPARTMENT OF HEALTH AND HUMAN SERVICES

Food and Drug Administration Los Angeles District Pacific Region 19701 Fairchild Irvine, CA 92612

Telephone: 949-608-2900 Fax: 949-608-4415

#### WARNING LETTER

#### VIA UNITED PARCEL SERVICE SIGNATURE REQUIRED

July 13, 2015

W/L # 27-15

Timothy M. Ring
Chairman and Chief Executive Officer
C.R. Bard Inc.
730 Central Ave.
Murray Hill, NJ 07974

Dear Mr. Ring:

During inspection of your C.R. Bard Inc. facility located at 289 Bay Rd, Queensbury, NY, on October 6, 2014, through November 25, 2014, and during inspection of your Bard Peripheral Vascular facility located at 1625 W. 3<sup>rd</sup> St., Tempe, AZ, on November 18, 2014, through January 05, 2015, investigators from the United States Food and Drug Administration (FDA) determined that your firm is a specification developer and manufacturer for the Inferior Vena Cava (IVC) filter delivery systems and components, including, but not limited to, the Denali Filter, the Simon Nitinol Filter and Recovery Cone Removal Kit. This warning letter addresses violations found at the Bard Peripheral Vascular facility located at 1625 W. 3<sup>rd</sup> St., Tempe, AZ and C.R. Bard Inc. facility located at 289 Bay Rd, Queensbury, NY. Under section 201(h) of the Federal Food, Drug, and Cosmetic Act (the Act), 21 U.S.C. § 321(h), these products are devices because they are intended for use in the diagnosis of disease or other conditions or in the cure, mitigation, treatment, or prevention of disease, or is intended to affect the structure or any function of the body.

We received responses dated December 17, 2014, January 15, 2015, February 18, 2015, March 16, 2015, April 17, 2015, and May 6, 2015, from Mr. Jason J. Gaede, Vice President Plant Operations, C.R. Bard Inc., Queensbury, NY. We also received responses dated January 26, 2015, February 26, 2015, March 26, 2015, April 24, 2015, and May 22, 2015, from Steve S. Williamson, President, Bard Peripheral Vascular, a Division of C.R. Bard, Tempe, AZ. These were responses to the observations noted on Form FDA 483s, Lists of Inspectional Observations that were issued to you at the close of our inspections. We address your responses below, in relation to each of the noted violations. These violations include, but are not limited to, the following:



#### Adulteration/Misbranding Violations at the Tempe, AZ facility

1. FDA has learned that your firm manufactures the Recovery Cone Removal System, Model RC-15 in the United States without marketing clearance or approval, in violation of the Act. Under section 201(h) of the Act, 21 U.S.C. § 321(h), this product is a device because it is intended for use in the diagnosis of disease or other conditions or in the cure, mitigation, treatment, or prevention of disease, or is intended to affect the structure or any function of the body. As explained below, this device is being marketed without the required clearance or approval.

The Recovery Cone Removal System, Model RC-15 is adulterated under section 501(f)(1)(B) of the Act, 21 U.S.C. § 351(f)(1)(B), because you do not have an approved application for premarket approval (PMA) in effect pursuant to section 515(a) of the Act, 21 U.S.C. § 360e(a), or an approved application for an investigational device exemption (IDE) under section 520(g) of the Act, 21 U.S.C. § 360j(g). The Recovery Cone Removal System, Model RC-15 is also misbranded under section 502(o) the Act, 21 U.S.C. § 352(o), because you did not notify the agency of your intent to introduce the device into commercial distribution, as required by section 510(k) of the Act, 21 U.S.C. § 360(k).

FDA reviewed labeling for the Recovery Cone Removal System, Model RC-15, which revealed that this device is intended to percutaneously remove the Recovery Filter, Recovery G2 Filter and the G2X Filter as indicated. FDA is aware that your firm submitted both in-vitro and in-vivo testing demonstrating the use of the Recovery Cone Removal System, Model RC-15 for removal of the Recovery Filter (K031328), the G2X Filter (K082305), the G2 Express Filter (K080668), and the G2 Filter (K073090). However, the Recovery Cone System, Model RC-15 was not included as part of the clearances for any of the aforementioned IVC filters. Therefore, your firm is marketing the Recovery Cone Removal System, Model RC-15 in the United States without marketing clearance or approval. Percutaneous retrieval systems, such as the Recovery Cone Removal System, Model RC-15, are regulated as manual surgical instruments intended for specialized use within a specific medical specialty, and thus require marketing authorization in order to be legally marketed in the United States.

Your firm has not submitted any correspondence to FDA regarding this violation to date.

2. FDA has also learned that your firm manufactures the Recovery Cone Removal System, Model FBRC in the United States without marketing clearance or approval, in violation of the Act. Under section 201(h) of the Act, 21 U.S.C. § 321(h), this product is a device because it is intended for use in the diagnosis of disease or other conditions or in the cure, mitigation, treatment, or prevention of disease, or intended to affect the structure or any function of the body. As explained below, this device is being marketed without the required clearance or approval.

The Recovery Cone Removal System, Model FBRC is adulterated under section 501(f)(1)(B) of the Act, 21 U.S.C. § 351(f)(1)(B), because you do not have an approved

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application for premarket approval (PMA) in effect pursuant to section 515(a) of the Act, 21 U.S.C. § 360e(a), or an approved application for an investigational device exemption (IDE) under section 520(g) of the Act, 21 U.S.C. § 360j(g) for the device as described and marketed. The Recovery Cone Removal System, Model FBRC is also misbranded under section 502(o) the Act, 21 U.S.C. 352(o), because you introduced or delivered into interstate commerce for commercial distribution a device with major changes/modifications to the intended use without submitting a new premarket notification to the agency as required by section 510(k), 21 U.S.C. 360(k), and 21 C.F.R. 807.81(a)(3)(ii).

You have listed the Recovery Cone Removal System, Model FBRC as a class I surgical snare under 21 CFR 878.4800. Devices classified under 21 CFR 878.4800 (Surgical Instrument, Manual) are exempt from premarket notification, unless they exceed the limitations on exemptions at 21 CFR 878.9(a). However, there is evidence that the Recovery Cone Removal System, Model FBRC is intended for uses that are different from those of legally marketed devices under 21 CFR 878.4800 (Surgical Instrument, Manual). Devices of this type usually consist of a non-powered, hand-held, or handmanipulated device that is either reusable or disposable, which are intended to be used in general surgical procedures. Manual surgical instruments intended for specialized uses within a specific medical specialty are classified under regulations separate from 21 CFR 878.4800, depending on the labeled specialized use of the device. However, your firm is marketing the Recovery Cone Removal System, Model FBRC for a specialized intended use, namely percutaneous removal of inferior vena cava filters, specifically your firm's G2X Filter, G2 Express Filter, and G2 Filter. The labeling for the Recovery Cone Removal System, Model FBRC also indicates that your product is intended to percutaneously remove a foreign body.

Based on the above, FDA believes that the Recovery Cone Removal System, Model FBRC is regulated as a percutaneous retrieval system, which is a manual surgical instrument intended for specialized use within a specific medical specialty, cardiovascular surgery. Because there is evidence that the Recovery Cone Removal System, Model FBRC is intended for uses that are different from those of legally marketed devices classified under 21 CFR 878.4800, it exceeds the limitations described in 21 C.F.R. 878.9(a) and is not exempt from premarket notification.

Your firm has not submitted any correspondence to the FDA regarding this violation to date. For a device requiring premarket approval, the notification required by section 510(k) of the Act, 21 U.S.C. § 360(k), is deemed satisfied when a PMA is pending before the agency. 21 C.F.R. 807.81(b). The kind of information you need to submit in order to obtain approval or clearance for your device is described on the Internet at http://www.fda.gov/cdrh/devadvice/3122.html. The FDA will evaluate the information you submit and decide whether your product may be legally marketed.

FDA requests that Bard Peripheral Vascular immediately cease activities that result in the misbranding or adulteration of the Recovery Cone Removal System, Model RC-15 and the Recovery Cone Removal System, Model FBRC, such as the commercial distribution of the devices for the uses discussed above.

#### **Quality System Violations**

The inspections also revealed that these devices are adulterated within the meaning of section 501(h) of the Act, 21 U.S.C. § 351(h), in that the methods used in, or the facilities or controls used for, their manufacture, packing, storage, or installation are not in conformity with the current good manufacturing practice requirements of the Quality System regulation found at Title 21, Code of Federal Regulations (CFR), Part 820.

The inspection of your Bard Peripheral Vascular Facility located at 1625 W. 3<sup>rd</sup> St., Tempe, AZ also revealed that the IVC Denali Filter Delivery System is misbranded under Section 502(t)(2) of the Act, 21 U.S.C. § 352(t)(2), in that your firm failed or refused to furnish material or information regarding the devices that is required by or under Section 519 of the Act, 21 U.S.C. § 360i, and 21 CFR Part 803 - Medical Device Reporting.

### Quality System Regulation Violations at the Tempe, AZ facility and Queensbury, NY facility

- 3. Failure to establish and maintain procedures for receiving, reviewing, and evaluating complaints as required by 21 CFR 820.198(a). Your current procedures governing complaint investigation activities at your facilities, CQA-STD-24 Standard for Product Complaint Handling Rev. 11 and CQA-STD-55, Standard for Complaint Investigation Process Rev. 01, MP9113, Complaint Investigation Activity Rev. 40, SOPQ0153100, BPV Complaint Handling System, Rev. 18, and SOPQ0700200, Complaint Investigation Procedures, Rev. 15 do not ensure product complaints are adequately evaluated. For example:
  - a. Your current procedures governing complaint investigation activities, CQA-STD-24 Standard for Product Complaint Handling Rev. 11, CQA-STD-55, Standard for Complaint Investigation Process Rev. 01, MP9113, Complaint Investigation Activity Rev. 40, SOPQ0153100, BPV Complaint Handling System, Rev. 18, and SOPQ0700200, Complaint Investigation Procedures, Rev. 15 do not include adequate instructions for ensuring that complaints involving a device or device component provided by a supplier are adequately evaluated for root cause of the alleged device failure and that appropriate corrective action is implemented with your suppliers.
  - b. Complaint 562535 for a G2 Filter, embolization of a detached filter arm with associated areas of hemorrhage and necrosis in the right lung was filed as a malfunction Medical Device Report [MDR] and should have been filed as a death. The following complaints were filed as malfunctions and should have been filed as serious injuries: Complaint 628924, Eclipse Filter, detached filter limb resulting in pericardial effusion and cardiac catheterization; 574429, G2 Express

Filter, broken filter and surgical intervention; 602904, Denali Jugular System, detached filter arm embedded in IVC wall with filter retrieval; 443237, G2 Filter, detached filter limb in renal vein with IVC wall perforation and blood thinner treatment; 446809, G2 Express Filter, IVC perforation and aneurysm; 454485, G2 Filter, abdominal pain with filter legs protruding through IVC wall and percutaneous removal; 562566, G2 Filter, abdominal pain with filter legs perforating IVC wall, partial retrieval and residual filter leg fragment embedded in IVC wall.

c. Complaints 507112, 507109, 507115, 507252, 507280, 507302, 507311 and 507325 report at least 10 patients who were exposed to scheduled retrieval surgical procedures to remove an IVC filter that were not successful. However, these complaint files do not document sufficient information to allow for adequate complaint investigation and disposition, including, but not limited to, MDR determination. For example, the complaints do not include information regarding prolonged or repeated surgery that may have occurred as a result of failed attempts to remove the filters, information regarding why the filters were scheduled to be removed and potential complications related to leaving them in the patient due to failed removal, and/or if any additional drugs or anesthetics had to be supplied to the patients.

We find that your responses dated December 17, 2014, January 15, 2015, February 18, 2015, March 16, 2015, April 17, 2015 and May 6, 2015 from Mr. Jason J. Gaede, Vice President Plant Operations, C.R. Bard Inc., Queensbury, NY and your responses dated January 26, 2015, February 26, 2015, March 26, 2015, April 24, 2015, and May 22, 2015, from Mr. Steve S. Williamson, President, Bard Peripheral Vascular, a Division of C.R. Bard, Tempe, AZ do not adequately address these deficiencies. For example, your January 26, 2015, response states that you made clerical errors and that you opened a CAPA to track training and determination of root cause with corrective and preventive actions. Your response is inadequate and does not assure that your complaint handling system reviews and evaluates complaints adequately. Additionally, the revised complaint procedures provided with your initial responses do not include adequate corrections to complaint investigation procedures with regards to the above stated deficiencies. Your follow-up responses do not address any corrections for complaint handling deficiencies. Your responses also state that all actions have been implemented with respect to the violation and that your firm considers your response to be complete.

### Quality System Regulation Violations at the Queensbury, NY facility

- 4. Failure to validate, with a high degree of assurance and approve according to established procedures, a manufacturing process that cannot be fully verified by subsequent inspection and testing, to ensure the process will continue to meet specifications as required by 21 CFR 820.75(a).
  - a. Specifically, IVC filter cleaning, to include removal of chemical processing contaminants, has not been validated for IVC Filters to include Simon Nitinol Filters, Eclipse Filters and Denali Filters. For example, production of Denali

Filters requires the use of several processing agents, including, but not limited to the following: nitric acid, methanol, sulfamic acid solution, thermo quench salt, glycolic acid, citric acid, and/or hydrofluric acid. The cleaning processes for IVC filters are not validated or otherwise verified to demonstrate that the above substances are reduced to acceptable levels during routine processing under worst case conditions. Therefore, your manufacturing process was not validated with a high degree of assurance and approved according to established procedures, nor were the process results fully verified by subsequent inspection and test, as 21 CFR 820.75(a) requires.

b. Your firm's own Process Qualification (PQ) Final Report PRT10-064 Rev A., dated May 29, 2013, states that a 100% inspection plan is necessary for all failed predefined acceptance criteria during process validation. In particular, the PO Final Report defines process capability acceptance criteria for Denali Filter Part #RM5109000 dimensions of C, D, L, M, G, N, W, F, T, U and the radial force functional test to be a CpK greater than or equal to 1.33 as a requirement to validate the process. Your process qualification failed to meet this predefined acceptance criteria for these filter dimensions and functional test. Therefore, according to your own manufacturing process validation document, 100% inspection for verification of these specifications on each lot of product is required to mitigate your failed process validation. However, your firm has not ensured 100% inspection of dimensions N, W, F, G and M, which lacked validation. Your firm has also not conducted adequate subsequent process validation studies to eliminate this requirement. As a result, your manufacturing process was not validated with a high degree of assurance and approved according to established procedures, nor were the process results fully verified by subsequent inspection and test, as 21 CFR 820.75(a) requires.

We find that your responses dated December 17, 2014, January 15, 2015, February 18, 2015, March 16, 2015, April 17, 2015, and May 6, 2015, are not adequate for the following reasons:

With regard to your promised corrections for IVC filter cleaning lacking process validation, we find your response partially adequate. We acknowledge your firm's actions to date associated with the CAPA you opened in response to this observation, GF-CAPA-15-002. We acknowledge that you reviewed 510K submission data for the Denali Filters, conducted recent cytotoxicity testing for Denali Filters and revised your Process Validation procedure, SOP 820.100.6, Rev 43., to specifically include the requirement of validating cleaning processes for components or devices that undergo contact with processing agents. We acknowledge your progress to date validating the cleaning processes for Denali Filters manufactured by both of your suppliers and Simon Nitinol Filters; however, this data will need further review during a follow-up inspection to verify adequacy of actions taken. We also acknowledge your performance of exhaustive extraction testing for the Denali Filters manufactured by one of your suppliers; however, the other supplier of these filters uses a different manufacturing process, processing agents, and equipment. Because of these differences, we recommend that you perform exhaustive extraction testing for Denali Filters manufactured by this supplier to ensure no residuals are present on these devices. Additionally, your firm has stated it is no longer manufacturing the Eclipse Filters as of 9/8/14; however, your firm has not indicated plans

regarding the stored inventory of these devices and continues to market them in the United States. Your response to date does not indicate corrective action for these devices that may still be in inventory and/or may still be distributed.

With regard to your promised corrections relating to process validation of your Denali Filter Part #RM5109000 dimensions of F, N, W, G and M, we find that your response is not adequate. Your Process Qualification (PQ) Final Report PRT10-064 Rev A., dated May 29, 2013, states that a 100% inspection plan is necessary for all failed predefined acceptance criteria during process validation. In particular, the PQ Final Report defines process capability acceptance criteria for Denali Filter Part #RM5109000 dimensions and/or functional tests of C, D, L, M, G, N, W, F, T, U and Radial Force to be a CpK greater than or equal to 1.33 as a requirement to validate the process. This predefined acceptance criterion was not met. Consequently, your firm conducted a retrospective analysis to change this original process validation criterion. You state your firm retrospectively analyzed data and determined that dimensions N and W may stay on AQL 0.65 limited inspections because the analysis demonstrated a 95/99.9% confidence level. However, your rationale for changing the original process validation acceptance criterion for dimensions N and W is not adequately supported.

Further, you have not been successful at validating the manufacturing process with respect to dimensions F, G and M, which failed predefined acceptance criteria. Your firm has not provided adequate data to support that these dimensions have been 100% inspected for every lot of product manufactured, as required by your firm's own manufacturing process validation document, PQ Final Report PRT10-064. And lastly, the corrective actions proposed as part of CAPA GF-CAPA-15-001 are in progress, and will need verification of implementation upon completion during a future inspection. For these reasons, your responses dated December 17, 2014, January 15, 2015, February 18, 2015, March 16, 2015, April 17, 2015, and May 6, 2015, are inadequate.

5. Failure to establish and maintain procedures for acceptance of incoming product and to inspect, test or otherwise verify incoming product as conforming to specified requirements as required by 21 CFR 820.80(b).

Specifically, based on your Process Qualification (PQ) Final Report PRT10-064 Rev A., dated May 29, 2013, process capability acceptance criteria of CpK greater than or equal to 1.33 for Denali Filter Part #RM5109000 dimensions C, D, L, M, G, N, W, F, T, U and the radial force functional test were not met. As a result, these dimensions and/or functional tests were to remain on a 100% inspection plan during manufacture at your supplier in order to be accepted into inventory. However, your firm accepted supplier lot numbers #33511-39, #33511-42, #33511-43, #33511-44, #33511-45, #33511-46, #33511-47, #33511-48, #33511-49, #33511-50, #33511-51, #33511-53, #33595-2A-20, #33595-2A-27, #33595-2A-29, #33595-2A-31, #33595-2A-30, #33595-2A-33, #33595-2A-35, #33595-2A-39, #33595-2A-40, #33595-4, and #33771-112 of Denali Filter Part #RM5109000, which your supplier inspected with an AQL 0.65 sampling plan for dimensions N, W, F, G, and M, rather than 100% inspection. Your firm also accepted

supplier lot numbers #33771-129 and #34360-24 of Denali Filter Part #RM5109000, inspected by your supplier with an AQL 0.65 sampling plan for dimensions N and W, rather than 100% inspection. Your procedures for acceptance of incoming product, including Inspection Plan IP #1450935 Rev. 2., were not adequately established and maintained to verify that incoming product conformed to your specified requirements of 100% inspection plan for dimensions N, W, F, G, and M. As a result, you failed to inspect, test or otherwise verify incoming product as conforming to your specified acceptance requirements, as required by 21 CFR 820.80(b).

We find your responses dated December 17, 2014, January 15, 2015, February 18, 2015, March 16, 2015, April 17, 2015, and May 6, 2015, partially adequate. Your responses do not clarify whether acceptable corrective actions have been taken with the above stated lots of Denali Filter components that lacked 100% inspection of dimensions N, W, F, G, and M to ensure your specified acceptance requirements have been met for these accepted lots. Your response does not contain evidence that the above stated lots indicating an AQL 0.65 sampling plan for dimensions F, G and M were in fact inspected at 100% for F, G and M. Further, your response does not contain evidence that your supplier's AQL 0.65 sampling plan is an adequate inspection, test, or verification of incoming product for dimensions N and W. We acknowledge your firm has opened CAPA GF-CAPA-15-005 to address systemic corrections to this observation; however, outputs of this CAPA are still in progress and will need to be verified during an FDA inspection of your firm.

6. Failure to establish and maintain procedures to ensure that all purchased or otherwise received product and services conform to specified requirements, as required by 21 CFR 820.50. In particular, 21 CFR 820.50(a) requires that each manufacturer establish and maintain requirements, including quality requirements, that must be met by suppliers, contractors, and consultants.

Specifically, your Process Qualification (PQ) Final Report PRT10-064 Rev A., dated May 29, 2013, documented that process capabilities for filter dimensions C, D, L, M, G, N, W, F, T, U and the functional test radial force, as defined under section 7 Acceptance Criteria — Process Qualification of this report, were not met for all dimensions and functional tests. The PQ Final Report states that because the process capabilities were not met, these filter dimensions and functional test should remain on a 100% inspection plan at your supplier until such time that objective evidence indicates process capability has been demonstrated. However, your supplier failed to inspect the product on a 100% inspection plan for filter dimensions N, W, F, G and M, and process capability was not demonstrated through objective evidence. For example, from approximately May 11, 2013 to August 5, 2013, your supplier of Denali Filter Part #RM5109000 provided you with a Certificate of Compliance for supplier lot numbers #33511-39, #33511-42, #33511-43, #33511-44, #33511-45, #33511-46, #33511-47, #33511-48, #33511-49, #33511-50, #33511-51, #33511-53, #33595-2A-20, #33595-2A-27, #33595-2A-39, #33595-2A

2A-40, #33595-4, and #33771-112. These certificates documented the supplier did not conduct 100% inspection for filter dimensions N, W, F, G and M. Your firm did not begin to address the issue with your supplier until approximately August 5, 2013 during an audit of your supplier, which was after most of these 23 lots were accepted into your inventory and used in the manufacture of finished Denali IVC Filter devices.

In the PQ Final Report PRT10-064 Rev A., your firm establishes purchasing control procedures as required by 21 CFR 820.50(a). These procedures include continued 100% inspection by your supplier for process capabilities that were not met with regards to filter dimensions C, D, L. M, G, N, W, F, T, U and for process capabilities that were not met with respect to the functional test radial force. However, when filter dimensions N, W, F, G, and M failed your established process capabilities, your supplier did not conduct 100% inspection. By failing to maintain adequate supplier control procedures (i.e., by failing to ensure 100% inspection was conducted for failed process capabilities), your firm violated 21 CFR 820.50(a), which requires that manufacturers establish and maintain requirements that must be met by suppliers.

Additionally, when suppliers are placed on Limited Approved status, such as your supplier of the Denali Filter Part #RM5109000, Norman Noble Inc., you do not have adequate instructions in your supplier control procedures, including but not limited to CQA-STA-18 Supplier Performance Management Rev. 05, to re-evaluate suppliers to ensure that the supplier is better able to meet your specifications.

We find that your responses December 17, 2014, January 15, 2015, February 18, 2015, March 16, 2015, April 17, 2015, and May 6, 2015, appear adequate, but are still in progress and will need to be verified during an FDA inspection of your firm.

### MDR Violations at the Tempe, AZ facility

Our inspection of your Bard Peripheral Vascular facility located at 1625 W. 3<sup>rd</sup> St., Tempe, AZ also revealed that the Cardiovascular intravascular filter, (IVC Denali Filter Delivery System), is misbranded under Section 502(t)(2) of the Act, 21 U.S.C. § 352(t)(2), in that your firm failed or refused to furnish material or information regarding the devices that is required by or under Section 519 of the Act, 21 U.S.C. § 360i, and 21 CFR Part 803 - Medical Device Reporting. Significant deviations include, but are not limited to:

7. Failure to submit a report to FDA no later than 30 calendar days after the day that your firm received or otherwise became aware of information, from any source, that reasonably suggests that a device that your firm markets has malfunctioned and this device or a similar device that your firm markets would be likely to cause or contribute to a death or serious injury, if the malfunction were to recur, as required by 21 CFR 803.50(a)(2).

For example, Complaint numbers 574292, 427438, 418704, and 416808 describe a malfunction of your firm's device, which is classified as a long term implant. Your firm did not rule out that the reported malfunctions would not be likely to cause or contribute to a death or serious injury, if it were to recur. Therefore, an MDR should have been submitted for each of the referenced complaints.

We reviewed your firm's responses received by the FDA, including the January 26, 2015, response, and conclude the response is not adequate. Your firm did not submit MDRs for the above referenced complaints and failed to justify why such malfunctions would not be likely to cause or contribute to a death or serious injury, if the malfunctions were to recur.

8. Failure to obtain and submit to the FDA information that is incomplete or missing from reports submitted by user facilities, importers, and other initial reporters; and if unable to submit complete information on a report, failure to provide a statement in your firm's report explaining why required information was incomplete and the steps taken by your firm to obtain the information, as required by 21 CFR 803.50(b)(2) and 21 CFR 803.50(b)(3).

Specifically, your firm submitted 15 MDRs to the FDA, which did not identify the patient's "Age at Time of Event" or "Date of Birth" in Blocks A2 and A4, respectively, of the FDA Form 3500A. In addition, your firm did not include an explanation of why the required information was not provided and the steps taken to obtain such information.

We reviewed your firm's responses received by the FDA, including the January 26, 2015, response, and conclude the response is not adequate. Although the FDA has received supplement reports for some of the MDRs, we have not received supplements for all.

The eMDR Final Rule requiring manufacturers and importers to submit electronic Medical Device Reports (eMDRs) to FDA was published on February 13, 2014. The requirements of this final rule will take effect on August 14, 2015. If your firm is not currently submitting reports electronically, we encourage you to visit the following web link for additional information about the electronic reporting requirements:

#### http://www.fda.gov/ForIndustry/FDAeSubmitter/ucm107903.htm

If your firm wishes to discuss MDR Reportability criteria or to schedule further communications, it may contact the Reportability Review Team by email at:

#### ReportabilityReviewTeam@fda.hhs.gov

Your firm should take prompt action to correct the violations addressed in this letter. Failure to promptly correct these violations may result in regulatory action being initiated by the FDA without further notice. These actions include, but are not limited to, seizure, injunction, and civil money penalties. Also, federal agencies may be advised of the issuance of Warning Letters about devices so that they may take this information into account when considering the award of contracts. Additionally, premarket approval applications for Class III devices to which the Quality

System regulation violations are reasonably related will not be approved until the violations have been corrected. Requests for Certificates to Foreign Governments will not be granted until the violations related to the subject devices have been corrected.

Please notify this office in writing within fifteen (15) business days from the date you receive this letter of the specific steps your firm has taken to correct the noted violations, as well as an explanation of how your firm plans to prevent these violations, or similar violations, from occurring again. Include documentation of the corrections and/or corrective actions (including any systemic corrective actions) that your firm has taken. If your firm's planned corrections and/or corrective actions will occur over time, please include a timetable for implementation of those activities. If corrections and/or corrective actions cannot be completed within fifteen (15) business days, state the reason for the delay and the time within which these activities will be completed. Your firm's response should be comprehensive and address all violations included in this Warning Letter.

Your written response should be sent to the Food and Drug Administration; Attention:

Dr. Raymond W. Brullo
Compliance Officer, Los Angeles District
U. S. Food and Drug Administration
19701 Fairchild
Irvine, CA 92612

A copy of your written response should also be sent to:

LCDR Catherine M. Beer Compliance Officer U. S. Food and Drug Administration One Winners Circle, Suite 110 Albany, NY 12205

If you have any questions about the content of this letter please contact: Dr. Raymond W. Brullo at (949) 608-2918.

Finally, you should know that this letter is not intended to be an all-inclusive list of the violations at your facility. It is your responsibility to ensure compliance with applicable laws and regulations administered by FDA. The specific violations noted in this letter and in the Form FDA 483, Inspectional Observations (FDA 483), issued at the close out of the inspection may be symptomatic of serious problems in your firm's manufacturing and quality management systems. You should investigate and determine the causes of the violations, and take prompt actions to correct the violations and to bring your products into compliance.

Sincerely yours.

Alonza E. Cruse, Director Los Angeles District

#### Cc:

Kevin J. Bovee Director of Quality Assurance C.R. Bard, Inc. 289 Bay Road Queensbury, NY 12804

Jason J. Gaede
Vice President, Plant Operations
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289 Bay Road
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Steve S. Williamson President Bard Peripheral Vascular, Inc. 1625 W. 3<sup>rd</sup> St. Tempe, AZ 85281

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Gin Schulz
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hee:

NYK-DO CB: (Beer/Alexander)
NYK-DO IB: (Frankovic/Terzian/Warner/Izyk)
CFSAN via CMS
HFI-35 (purged) via CMS
Legal file
CMS Case # 453127
EI file C.R. Bard, Queensbury NY (1313046)

LOS-DO DCB file
LOS-DO IB [M. Maxwell, S. Perkins, M. Saale – e-copies]
CMS Case # 453127 [issued version for CO redaction]
Legal File
Factory File
Chron [Brullo]

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       IN THE UNITED STATES DISTRICT COURT
                 FOR THE DISTRICT OF ARIZONA
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     IN RE: BARD IVC FILTERS PRODUCTS )
                                  ) MD No.: 02641
 5 LIABILITY LITIGATION
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   DO NOT DISCLOSE - SUBJECT TO FURTHER CONFIDENTIALITY REVIEW
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   CONTINUED VIDEOTAPED DEPOSITION OF CHAD MICHAEL MODRA
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                      Phoenix, Arizona
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                      January 20, 2016
                         9:01 a.m.
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23 REPORTED BY:
24 Robin L. B. Osterode, RPR, CSR
25 AZ Certified Reporter No. 50695
```

```
(Previously marked Exhibit 102 is
 1
              attached hereto.)
 2.
     BY MR. LOPEZ:
 3
         Q.
               Exhibit 102 was marked --
 5
         A. Yeah.
         Q. Do you have it?
 7
         A. Yes, I do.
         Q.
               So we've been talking about this warning
 8
     letter, and that's what this deposition is about.
     This is the warning letter, July 13, 2015. Correct?
10
11
         Α.
            Correct.
         Q. And I think last time we agreed that the
12
     warning letter is really a letter that involves
13
14
     multiple violations of safety processes, citations,
     because it actually says that in the warning letter.
15
16
     Right?
17
         A. It does say that.
18
             So why do you suppose they call it a
     warning letter?
19
20
         A.
               They wanted to send a message to the firm
21
     that their -- you know, they take these certain
22
     elements seriously or they're concerned about these
     elements of a 483 item form. So that's their vehicle
23
24
     of communicating that to us.
             Well, they actually -- I think they include
25
```

```
1
       IN THE UNITED STATES DISTRICT COURT
                 FOR THE DISTRICT OF ARIZONA
 2
 3
     IN RE: BARD IVC FILTERS PRODUCTS )
                                  ) MD No.: 02641
 5 LIABILITY LITIGATION
 6
 7
 9
   DO NOT DISCLOSE - SUBJECT TO FURTHER CONFIDENTIALITY REVIEW
10
11
12
   CONTINUED VIDEOTAPED DEPOSITION OF CHAD MICHAEL MODRA
13
14
15
                      Phoenix, Arizona
16
                      January 20, 2016
                         9:01 a.m.
17
18
19
20
21
22
23 REPORTED BY:
24 Robin L. B. Osterode, RPR, CSR
25 AZ Certified Reporter No. 50695
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### Case 2:15-md-02641-DGC Document 988-1 Filed 03/04/16. Page 20 of 62 Do Not Disclose - Subject to Further Confidentiality Review

- 1 the warning at the end of the letter, if you look at
- 2 Bates number 242.
- 3 A. Yes.
- Q. Okay. As a matter of fact, they also make
- 5 it clear that this letter is not intended to be an
- 6 all-inclusive list of the violations at your
- 7 facility?
- 8 A. Correct.
- 9 Q. And it's your responsibility, meaning the
- 10 company, to ensure compliance with applicable laws
- and regulations administered by FDA. Right?
- 12 A. Yes.
- Q. In other words, FDA is saying that, you
- 14 know, we didn't come through and do a
- 15 corner-to-corner, you know, inch-by-inch audit and
- 16 evaluation of your company; we just did certain
- 17 things, and we found these violations. And these are
- 18 not to be considered an all-inclusive list of these
- 19 violations. Right?
- 20 A. That's correct.
- Q. And they're telling -- the warning here is
- that you probably should be looking at other places
- 23 if you haven't yet, and not rely on FDA to find them?
- 24 A. Of course.
- 25 Q. And that you should do that irrespective of

#### 

EXHIBIT //
Barry
1/31/14 cm

To:

T. Ring/J. Weiland

From:

C. Ganser

Date:

August 3, 2005

Subject:

IVC Recovery Filter Adverse Events (Migrations/Fractures) - Executive Summary

Following is an adverse event summary of the Bard IVC Recovery Filter for migrations and fractures through 8/2/2005:

#### Migrations

- 49 filter migrations reported > 2 cm
- 28/49 cases confirmed migration of the filter encased in large thrombi
- 12/49 cases clot presence was unknown
- 15/28 migrations with confirmed thrombi encasement migrated to the heart
- 16/49 migrations involved morbidly obese/gastric bypass patients
- 13/49 migrations death reported
- 11/13 migration associated deaths massive clot burden reported to have overwhelmed the filter (2 had unknown clot burdens)
- 8/13 migration associated deaths involved morbidly obese/gastric bypass patients
- 1/13 migration associated deaths involved Australian patient, information pending
- 1/13 migration associated deaths involved woman suffering from a sub-arachnoid hemorrhage
- 1/13 migration associated deaths involved trauma patient (massive head wound)
- 1/13 migration associated deaths involved a patient post Achilles tendon surgery
- 1/13 migration associated deaths investigation revealed insufficient data
- 5 pulmonary embolism fatalities, unrelated to migration
- 33,178 units sold as of 8/2/2005
- Estimated 26,542 units placed
- Bard IVC Recovery filter migration rate 0.148% (based on units sold)
- Bard IVC Recovery filter migration related mortality rate 0.039% (based on units sold)

Comparative MAUDE/IMS data for IVC filter fatalities (through Q2 2005):

Rates	Fatalities	Migration
SNF	0.0000%	0.0027%
Recovery	0.0563%	0.1220%
Vena Tech	0.0082%	0.0532%
Greenfield	0.0077%	0.0242%
Bird's Nest	0.0142%	0.0283%
Tulip	0.0149%	0.0277%
TrapEase	0.0147%	0.0152%
OptEase	0.0204%	0.0204%

MAUDE Fatalities are associated with reports associated with migration, caval perforation, caval thrombis, pulmonary embolism, and failed deployment.



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Page 1 of 2

#### **Fractures**

- .68 reports of filter fractures have been reported to date (rate of 0.205%)
- 25/68 cases involved fragments migrated to the heart/lung (0.075%)
- 4/25 fractures the patient required surgery to remove detached limb(s)
- No other injuries have been reported
- A literature review reveals that filter fracture is a known complication of IVC filters, with reported rates in the range of 0.05% 10%

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Page 2 of 2

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FILIER SALES (IMS QT 00 10 Q4 04,		+	+ Irend Q	(co. sm - tm	(6)												
2 246 * Actual Sales Through November 7, 2005 77,572 * Actual Sales through 03 2005 34,782 * Actual Sales through 03 2005 51,285 Need MXS Sales Data - currently based on trend 1994.60 Need MXS Sales Data - currently based on trend 17 And Novel MXS Sales Data - currently based on trend	November 23 2005 23 2005 currently currently	ة ق ق ا	ased on trens	13 73 7			DRAFT										1 14
1,539 Need IMS Sales Data - Currently based on trei 92,659 Need IMS Sales Data - currently based on trei 16,305 Need IMS Sales Data - currently based on trei 16,305 Need IMS Sales Data - currently based on trei	currently be currently be currently be		ased on tren ased on tren ased on trenk	פפפפ		Confide	Confidential & Privileged	rivileged									
MAUDE DATA THROUGH Q3 2005	05																
Nondeploy Total AEs Deploy't			Total AEs	Migration	Filter Embolization	Migration	Caval Perforation	Caval Thrombosis	Pulmonary Embolism	Filter Fracture	Guidewire Entrapment	Misplaced Deployment	Partial Deployment	Failed Deployment	Tilted Filter	Other	Detachment of Component(s)
4 16	16		50	-	0	-	3	0		0	0	14	0	2	0	0	0
H	25		51	-	-	2	7	0	0	2	0	4	10	11	0	0	15
-	22		140	27	19	46	13	0	11	45	0	2	12	8	1	2	0
+	21	- 1	53	4	23	27	0	0	-	-	-	0	4	16	0	3	0
7	222	J	307	14	34	48	9	-	4	6	12	41	130	38	1	12	0
21 9	6 5	- 1	8	- 0	- (	2	= :	0	-	7	0	9	0	8	0	0	0
38 33	33 63	- 1	180	۽ ه	α Q	4 8	2 9	2 83	7 0	0 0	0	s c	17	13	4	φ;	- 0
H	0		28	4	20	4	2 0	4	4	2 00	0	200	2 0	2 6		e.	
Nondeploy Total AEs Deploy't	Total Deploy't		Total AEs	Migration	Filter Embolization	Migration	Caval	Caval Thrombosis	Pulmonary Embolism	Filter Fracture	Guidewire Entrapment	Misplaced Deployment	Partial Deployment	Falled Deployment	Tilted Filter	Other	Detachment of Component(s)
	0.7124%	_	0.8905%	0.0445%	%0000.0	0.0445%	0.1336%	0.0000%	0.0000%	0.0000%	%0000.0	0.6233%	%000000	0.0890%	0.0000%	%0000.0	0.0000%
-	0.0322%	-	+	0.0013%	0.0013%	0.0026%	%0600.0	%0000.0	0.0000%	0.0026%	%0000.0	0.0052%	0.0129%	0.0142%	0.0000%	0.0000%	0.0193%
т	0.0633%	-	+	0.0777%	0.0547%	0.1324%	0.0374%	0.0000%	0.0317%	0.1295%	_	0.0058%	0.0345%	0.0230%	0.0029%	0.0058%	%0000.0
0.0624% 0.0410%	0.0410%		0.1034%	0.0078%	0.0449%	0.0527%	%00000	%00000	$\neg$	0.0020%	_	%00000	0.0078%	0.0312%	%00000	0.0059%	%0000.0
0.0420% 0.111378 0.0807% 0.404790	0.1070		+	0.007.0%	0.0170%	0.0241%	0.0030%	0.0005%	0.0020%	0.0045%	0.000%	0.0200%	%7690.0	0.0196%	0.0005%	0.0000%	0.0000%
$\top$	0.0691%		+	0.0118%	0.0158%	0.0278%	0.0197%	0.0039%	Т	0.0000%	%00000	0.0028%	0.0336%	0.0414%	0.0000%	0.0000%	0.0000%
T-	0.0171%	1 -	⊢	0.0052%	%6600.0	0.0151%	0.0099%	0.0322%	1	0.0093%	0.0000%	0.0000%	0.0078%	0.0093%	0.0000%	0.0057%	%00000
0.1361% 0.0296%	0.0296%	_	0.1656%	0.0237%	%000000	0.0237%	%0000.0	0.0237%	0.0237%	0.0473%	%0000:0	0.0118%	%000000	0.0177%	0.0000%	0.0177%	%0000.0
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10 cm   10 c	SE	192,659	27,231	889	46,424	41,515		7,326		8,997	9,121	9,113	8,816	9,572	8,712	8,789		11,098	11,828
10   10   10   10   10   10   10   10	щ	16,905			3,696	7			155	2,227	2,152	2,151	2,097	1,746	1,834	994	1,794	859	299
50.659 11,097 14,465 16,988 6,722 1,386 3,721 3,765 3,589 3,223 3,589 4,888 4,183	HELD	199,460	16,458	,645	33,328	37,413	10,155 4	19,461		5,585	5,480	5,394	5,838	4,963	5,636	6,208	9,236	7,583	8,240
7.249   538   599   967   1,106   1,492   2,563   1,106   1,492   2,563   1,106   1,492   2,563   1,106   1,492   2,563   1,106   1,492   2,591   1,106   1,492   2,191   1,106   1,492   2,191   1,106   1,10	ER TULIP	50,638	11,097	,465	16,988		1,366			3,731	3,751	3,615	3,765	3,588	3,223	3,889	4,888	4,183	4,450
34,752 [12.64 17.976 4,141 1] 3,800 [16.681] 2,381 2,506 2,473 3,244 2,882 2,800 2,839 3,512 3,489 34,752 [12.64 17.976 4,141 1] 3,800 [16.681] 3,809 4,688 4,237 4,875 4,875 4,181 2,581 2,474 2,286 2,924 3,926 3,924 3,922 4,866 10,250 2,383 2,337 2,381	VEST FILTER	7,249		589	961		- 10	2,563		184	188	186	180	162	83	164	389	154	146
51,265 7,081 9,714 10,042 9,322 4,856 10,250 2,383 2,337 2,361 2,448 2,211 2,581 2,448 2,211 2,581 2,448 2,211 2,581 2,448 2,211 2,581 2,448 2,211 2,581 2,448 2,211 2,581 2,448 2,211 2,581 2,324 2,337 2,381 2,337 2,337 2,381 2,337 2,3	ctual	77,572		875	14,040	13,715	3,901	16,681		2,381	2,506	2,473	3,244	2,832	2,960	2,839	3,512	3,489	3,593
51,265 7,081 9,714 10,042 9,322 4,866 10 250 2,383 2,337 2,361 2,448 2,211 2,581 2,474 2,256 2,924 26,360 10,1846 125,479 109,800 96,044 86,281 2,553 2,537 2,511 31,283 29,683 29,329 35,510 31,337 296,748 50,809 101,846 125,479 109,800 96,044 86,281 2,553 26,274 26,386 25,074 25,029 25,357 33,230 30,290 quarters trend)	ERY - Actual	34,752	12,634		4,141	-				3,809	4,588	4,237	4,875	4,619	4,300	4,182	2,280	1,047	644
696,548   56,634   119,824   126,620   109,801   96,044   86,281   30,123   29,511   31,263   29,639   29,329   29,539   35,510   31,337   355,748   56,809   101,848   125,479   109,800   96,044   86,281   25,535   25,274   26,388   25,074   25,029   25,337   33,230   30,200   30,2	ЕСН	51,265			10,042		4,856 1	10 250	_#	2,383	2,337	2,361	2,448	2,211	2,581	2,474	2,256	2,924	2,167
995,748 50,809 101,848 125,479 109,800 96,044 86,281 25,535 25,274 26,388 25,074 25,029 25,357 33,230 30,290 auditers' trend)	ODUCTS	630,500	59,634	824	29,620		6,044 8	36,281			30,123	29,511	31,263	29,693	29,329	29,539	35,510	31,337	31,735
quarters' trend)	<b>ECOVERY PRODUCTS</b>	595,748	50,809	848		3 008'601	36,044 B	36,281			25,535	25,274	26,388	25,074	25,029	25,357	33,230	30,290	31,091
	available (based on last	4 quarters'	trend)																
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AF Q1 2000			15,208		927	4,539		3,699	24,373	24,373	
AE Q2 2000			13,041		711	4,534		2,784	21,070	21,070	
AD Q3 2000	2,395		11,095		292	3,665		2,092	19,814	418,814	
AC AD AE Q4 2000 Q3 2000 Q2 2000	4,931		10,117		358	3,943		1,675	21,024	21,024	
AB Q1 2001	5,998		10,417		605	3,707		1,302	22,029	22,029	
AA Q2 2001	7,873		9,642		270	3,467		917	22,169	22,169	
Y Z AA AB Q4 2001 Q3 2001 Q2 2001 Q1 2001	8,785		9,839	442	194	3,271		1,299	23,830	23,830	
√ Q4 2001	11,618		10,257	924	423	3,456		1,338	28,016	28,016	
	009'6		10,005	1,053	268	3,317		1,776	26,019	26,019	
W Q2 2002	9,296		8,954	2,085	297	3,418		2,312	26,362	26,362	
Q3 2002	10,875		8,862	1,566	157	3,343		2,422	27,225	27,225	
Q4 2002 Q3 2002 Q2 2002 Q1 2002	11,744	7	9,592	2,018	384	3,637	-	2,812	30,195	30,194	
۲ ± 2003	12,343	376	8,269	3,467	272	3,446	170	2,695	31,038	30,868	
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0	Detachment of Component(s)	0																																									
z	Caval Penetration	0																																									
Σ	Other	0								T	T	T							1	T																					T		
7	Tilted	0								T							1		†	T					İ			T						1				1	Ì	1			
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٦	Partial F Deployme D	0			0																																			+			
-	Misplace d Deploym ent	14			FiltEmb death?																																			1			
Ι	<b>Guidewire</b> Entrapment	0			Death?																																						
Э	Filter Fracture	0	5		Location																			Mig + Perf	Mig + Perf																		
F	Pulmonary Embolism	0	UGH NOVEMBER 7, 2005	1	Failure	Difficult to Deploy	Failed Deployment	Caval Perforation	Misplaced Deployment	Mincult to Deploy	Difficult to Deployment	Misplaced Deployment	Difficult to Deploy	Difficult to Deploy	Misplaced Deployment	Difficult to Deploy	Misplaced Deployment	Misplaced Deployment	I Deployment		Caval Perforation	Misplaced Deployment	Out-of-box failure	Failed Deployment	Caval Perforation	Missing Components																	
Е	Caval Thrombosis	0							62625						62995			62998		63001					63855																		
D	Caval Perforation	9	DATA THRO		Source	TrackWise !			TrackWise				TrackWise	TrackWise (				TrackVise							TrackWise			TrackWise !		TrackWise (													
O	Filter Embolization	0	COMPLAINT DA		Date	9/23/2005	10/5/2005	10/18/2005	10/26/2005	10/26/2003	10/28/2005	10/28/2005	10/28/2005	10/28/2005	10/28/2005	10/28/2005	10/28/2005	10/28/2005	10/28/2005	10/28/2005	10/28/2005	11/1/2005	11/2/2005	11/7/2005	11/7/2005	11/7/2005	11/7/2005	8/2/2005	10/24/2005	10/24/2005					the first flow for the period of the first flower than the first flower from the first flower								
В	Migration	1			Device	G2	G2	G2	G2	200	62	G2	G2	G2	G2	G2	G22	75	3 6	G2	G2	G2	G2	G2	25	G2	G2	G2	G2	G2	G2	G2	G2	GZ	25	G2	25	622	7 5	75	25	700	GZ
А	Death	0	ACTUA	<del>1 +</del>	Company	BPV			BPV				BPV	BPV	BPV	3 BPV	BPV			23 BPV	BPV	25 BPV	26 BPV	BPV	28 BPV	30 BPV	BPV	32 BPV	BPV	34 BPV	BPV	36 BPV	37 BPV	38 BPV	8FV	N BPV	7	PPV	43 DPV	V 00	NOW .		BPV
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ſ	Partial Deployme nt	10				0																																				
-	Misplace d Deploym ent	4			Filtemb	death?									And the second s																											
н	Guidewire Entrapment	0				Death?				panted											Deployed Upside-down																And the second s					
G	Filter Fracture	2				Location	97.00			Filter & part of catheter impanted	"6th Foot" did not open	Twisted Legs	Crossed Legs		upside down deployment			Marker Bands		Leg in Renal Vein	Migration (Rt Heart)/		Movement 1 cm	Marker Bands	Marker Bands	Marker Bands	Marker Bands	Legs Twisted	Legs Twisted	Legs Twisted	Leas did not open	Marker Bands	Marker Bands		Marker Bands	Marker Bands		Marker Bands	Hooks Stuck		Hooks Stuck	Hooks Stuck
	_				H	김		+		ĮĒ.	19.	ř	ઇં	+	9	-				e le		(s)	2					e le	9	9 1	_ <u> </u>	1	П			_	$\neg$		크	-	운	외
F	Pulmonary Embolism	0				Failure	Caval Perforation	Failed Deployment	Misplaced Deployment	Partial Deployment	Partial Deployment	Partial Deployment	Partial Deployment	Failed Deployment	Misplaced Deployment	Caval Perforation	Caval Perforation	Detachment of Component(s)	Misplaced Deployment	Filter Fracture	Filter embolization	Detachment of Component(s)	Microfich	Detachment of Component(s)	Detachment of Component(s)	Detachment of Component(s)	Detachment of Component(s)	Failed Deployment	Failed Deployment	Falled Deployment	Partial Deployment	Detachment of Component(s)	Detachment of Component(s)	Caval Perforation	Detachment of Component(s)	Detachment of Component(s)	Caval Perforation	Detachment of Component(s)	Caval Perioration	Caval Perforation	Failed Deployment	Misplaced Deployment
ш	Caval Thrombosi s	0				FDA#	599074	590761	587882	586963	584469	571075	565692	557650	541700	529838	515514	517796	515079	506286	503017	504208			452201			$\neg$	7	429328 428025							$\top$	380983	1	Т		377169
۵	Caval Perforation	7				Source	ZW2	CW2	Z Z	KW	KMJ	KW	ZW2	80 S	BPV	BPV	BPV	BPV	BPV	חרם	JWL	2 68 2 50 2 50 2 50 2 50 2 50 2 50 2 50 2 50	2	BPV	BPV	BPV	BPV	BPV	2 2	70	P V	BPV	BPV	PPV	DNG	PPV	2 2 2	) Q	P V	BPV	BPV	Dra
O	Filter Embolization	1				T	5/2/2005	4/6/2005	3/30/2005	3/28/2005	3/16/2005	1/24/2005	1/5/2005	11/29/04	08/20/04	06/11/04	03/10/04	01/30/04	01/20/04	12/23/03	12/23/03	12/12/03	09/29/03	04/11/03	04/02/03	03/13/03	01/17/03	11/18/02	11/18/02	11/08/02	08/20/02	07/18/02	06/21/02	06/17/02	05/22/02	05/13/02	04/15/02	04/02/02	03/05/02	03/05/02	02/11/02	02/11/02
В	Migration	1				Device	SIMON NITIONOL VENA	SIMON NI INOL FILIER	SIMON NITINOL FILTER	SIMON NITIONOL FILTE	SIMON NITNOLFILTER-F	SIMON NITINOL FILTER	SIMON NITINOL VENA C	SIMON NITINOL VENA C	SIMON NITINOL VENA C	SIMON NITINOL VENA C	SIMON NITINOL FILTER	SIMON NITINOL FILTER	SIMON NITINOL FILTER	SIMON NITINOL FILTER	SIMON NITINOL FILTER	SIMON NITINOL FILLER	SIMON NITIN NOWIS	SIMON NITINOL FILTER	SIMON NITINOL FILTER	SIMON NITINOL FILTER	2320A-S/SNF ANTECUBI	2120F-S/SNF FEMORAL	2120F-S/SNF FEMORAL	2220 LS/SNF. II IG! II AR	2120F-S/SNF FEMORAL	3220J-NITINOL JUGULA	SIMON NITINOL FILTER	SIMON NITINOL FILTER	SIMON NITINOL FILTER	SIMON NITINOL FILTER	SIMON NI INOL FILLER	SIMON NITINOL FILLER	SIMON NITINOL FILTER	SIMON NITINOL FILTER	SIMON NITINOL FILTER	SIMON NITINOL FILTER
A	Death 1	2 0	3	2 4	_	b Company	_	200	9 BPV	11 BPV	12 BPV	13 BPV		15 BPV 16 BPV	17 BPV	18 BPV		20 BPV			23 BPV	24 BPV	26 BPV	27 BPV	28 BPV	29 BPV	30 BPV	31 BPV	32 BPV	33 BPV							41 BPV	42 BPV		45 BPV		47 BPV

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	9				eas Twisted	Marker Bands	Jarkar Bande	alive Dalius	arker bands	larker Bands	ooks Stuck		Failed Deployment																																		4-11											
	ů.	Partial Deployment	Partial Deployment	Partial Deployment	Failed Deployment	Detachment of Component(s)	Detachment of Component(s)	Composition of Composition (S)	Detachment of Component(s)	Detachment of Component(s)	Failed Deployment		Failed Deployment																																											-		
	ш	338490	338470	333322	333319	321923	306765	00000	300000	300150	292233	2/8519	273932																																													
	٥	BPV	BPV	BPV	BPV	BPV	BPV	7000	2 0	200	2 2	25	BPV	1																		_																										
	O	06/20/01	06/20/01	05/14/01	05/14/01	03/23/01	11/28/00	40/46/00	10/10/00	10/13/00	08/21/00	00/61/90	04/13/00																					110000																								
	8	SIMON NITINOL FILTER	SIMON NITINOL FILTER	CONT. INT. INT. INC. INC.	SINGN NITHOL LILIER	SINON NIT INOL TILLER	SINON NIL INOL FILLER	SIMON NIL INOL FILLER	SIMON NI INOL FIL I EK																																																	
,	4												28 BPV	700 00	20000	of BPV	32 BPV	33 BPV	34 BPV	SS BPV	VOB S	2000	N N	S BPV	99 BPV	'0 BPV	1 BPV	72 BPV	73 BPV	74 BPV	75 BPV	76 BPV	7 BPV	8 BPV	9 BPV	0 BPV	1 BPV	2 BPV	3 BPV	4 BPV	5 BPV	6 BPV	7 BPV	8 BPV	89 BPV	0 BPV	1 BPV	92 BPV	3 BPV	4 BPV	5 BPV	6 BPV	7 BPV	8 BPV	9 BPV			

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	Failure Comments
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27 19	Device
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51 BPV 52 BPV 53 BPV 54 BPV 55 BPV	RECOVERY FILTER RECOVERY FILTER BECOVERY FILTER	4/12/2005	KMJ	592740	Caval Perforation				
	RECOVERY FILTER	4/7/2005							
53 BPV 54 BPV 55 BPV	DECOVED VIII TED		KW	590300	Caval Perforation				
54 BPV 55 BPV	וריטטערון וורורון	4/4/2005	KWJ	588407	Pulmonary Embolism		Death		
55 BPV	RECOVERY FILTER	3/25/2005	KMJ	587269	Filter Fracture				
	RECOVERY FILTER	3/25/2005	KMJ	569852	Tilted Filter				
	RECOVERY FILTER	3/15/2005	KMJ	585163	Partial Deployment	Twisted Legs			
57 BPV	RECOVERY FILTER	3/15/2005	KMJ	575175	Filter Fracture				
	RECOVERY FILTER	3/14/2005	KMJ	584484	Filter Fracture				
59 BPV	BARD	3/14/2005	KMJ	584473	Filter Fracture				
60 BPV	BARD RECOVERY IVC FI	3/14/2005	ΚΜΊ	581678	Filter Fracture				
61 BPV	RECOVERY FILTER	3/10/2005	KMJ	583349	Partial Deployment	Twisted Legs			
62 BPV	RECOVERY FILTER	3/7/2005	KMJ	580296	Pulmonary Embolism		Death	\$1.00 m	
	RECOVERY FILTER	3/4/2005	KMJ	582383	Filter Fracture				
64 BPV	RECOVERY FILTER	3/4/2005	KMJ	582362	Filter Fracture				
65 BPV	RECOVERY FILTER	3/3/2005	KMJ	581426	Misplaced Deployment	accessory vein			
	RECOVERY FILTER	2/25/2005	KMJ	580062	Filter Fracture				
67 BPV	RECOVERY FILTER	2/25/2005	KMJ	578634	Pulmonary Embolism		Death		
68 BPV	BARD	2/15/2005	KMJ	575244	= 567210	Duplicate of 567210			
69 BPV	RECOVERY FILTER	2/9/2005	KMJ	572544	Pulmonary Embolism		Death		
70 BPV	RECOVERY FILTER	2/8/2005	KMJ	574995	Migration	5 cm movement w/ clot			
	RECOVERY FILTER	2/8/2005	KMJ	572279	Migration	right atrium			
72 BPV	UNKNOWN BRAND NAME	1/31/2005	KMJ	584859	Filter Fracture				
73 BPV	RECOVERY FILTER	1/31/2005	KMJ	574154	Filter Fracture	Fracture + Migration			
74 BPV	RECOVERY FILTER	1/31/2005	KMJ	574154	Migration	Fracture + Migration			
75 BPV	BARD RECOVERY FILTER	1/31/2005	KMJ	570337	Filter Fracture				
76 BPV	RECOVERY FILTER	1/31/2005	KMJ	569583	Migration	3 cm movement w/ clot			
7 BPV	RECOVERY FILTER	1/28/2005	KMJ	571941	Migration	2 cm movement w/ 10cm of clot			
	RECOVERY FILTER	1/24/2005	KMJ	571084	Filter Fracture				
79 BPV	RECOVERY FILTER	1/19/2005	KMJ	569799	Partial Deployment	legs opened asymmetrically			
80 BPV	RECOVERY FILTER	1/18/2005	KMJ	570361	Filter Fracture				
	RECOVERY FILTER	1/14/2005	KMJ	569850	Filter Fracture				
	RECOVERY FILTER	1/14/2005	KMJ	569848	Partial Deployment	Twisted Legs			
	RECOVERY FILTER	1/13/2005	KMJ	587274	Filter Fracture				
	BARD	1/13/2005	KMJ	567210	Filter Fracture	arm in pulmonary artery at autopsy	Death		
	RECOVERY FILTER	1/12/2005	KMJ	568356	Filter Fracture				
_	RECOVERY FILTER	1/6/2005	ZW.	565461	Failed Deployment	Arms and Legs did not open			
	VENA CAVA FILTER	1/6/2005	KW.	564519	= 567210	Duplicate of 567210			
	RECOVERY FILTER	1/5/2005	WY:	565157	Failed Deployment	Legs did not open			
89 BPV	RECOVERY FILTER	1/5/2005	CMX	564369	Filter Fracture				
	BECOVERT FILLIER	1/5/2005	ZWZ CO	564354	Pulmonary Embolism				
	RECOVERY EILTER	12/22/2004	V d a	564564	Courtes Dorfordion	nonlicate			
	RECOVERY EILTER	12/21/2004	2 0	201201	Migration				
	RECOVERY FILTER	12/15/2004	SPV V	560534	Filter Embolization		4	•	
	RECOVERY FILTER	12/14/2004	NON N	560351	Partial Denloyment	Twiefor	Dean	-	
	RECOVERY FILTER	12/14/2004	RPV	560255	Partial Deployment	Twisted Legs			
	BARD RECOVERY FILTER	12/9/2004	BPV	562812		Diplicate			
98 BPV	BARD RECOVERY FILTER	12/9/2004	BPV	562817	Filter Embolization				
99 BPV	RECOVERY FILTER	12/8/2004	BPV	558963	Partial Deployment	Twisted Legs			
100 BPV	RETRIEVABLE GREENFIE	12/7/2004	BPV	560997	Filter Fracture				
101 BPV	RECOVERY FILTER	12/1/2004	BPV	557639	Caval Perforation				
102 BPV	RECOVERY FILTER	11/10/2004	BPV	554903	Migration	T-12			

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BARD RECOVERY FILTER	11/4/2004	BPV	557688	Filter Embolization		Death	1	
RECOVERY FILTER	10/26/2004	BPV	552526	Filter Fracture				
RECOVERY FILTER	10/14/2004	BPV	549338	Filter embolization	Rt Heart	Death	-	
RECOVERY FILTER	10/14/2004	BPV	549017	Filter Embolization	Heart			
RECOVERY FILTER	10/14/2004	BPV	549017	Failed Deployment	Did not Open			
BARD RECOVERY IVC FI	10/5/2004	BPV	557154	Migration	Caudal - 4cm			
BARD RECOVERY IVC FI	10/5/2004	BPV	557154	Filter Fracture	Arm			
BARD RECOVERY IVC FI	10/5/2004	BPV	556875		Same as 557154			
RECOVERY FILTER	10/1/2004	BPV	547044	Migration				
RECOVERY FILTER	10/1/2004	BPV	547044	Filter Fracture	Hook			
RECOVERY FILTER	9/21/2004	JWL	545303	Filter Embolization	intrahepatic IVC	Death	-	
RECOVERY FILTER	9/21/2004	BPV	545298	Caval Perforation				
RECOVERY FILTER	9/21/2004	JWL	545283	Caval Perforation	Removed, fine			
RECOVERY FILTER	9/10/2004	BPV	543326	Caval Perforation				
RECOVERY FILTER	9/9/2004	BPV	543154	Failed Deployment	Crossed Legs			
RECOVERY FILTER	9/9/2004	BPV	543080	= 545130				
RECOVERY FILTER	9/9/2004	BPV	543075	Failed Deployment	Crossed Legs			
RECOVERY FILTER	9/9/2004	BPV	543065	Pulmonary embolism	6-8cm			
RECOVERY FILTER	9/9/2004	BPV	543065	Migration	6-8cm			
RECOVERY FILTER	8/30/2004	BPV	541694	Other	Pain			
RECOVERY FILTER	8/30/2004	JWL	541690	Filter embolization	right atrium			
RECOVERY FILTER	8/26/2004	JWL	541353	Filter embolization	heart	Death	-	
RECOVERY FILTER	8/23/2004	JWL	540532	Filter embolization	heart, placed into large cava			
RECOVERY FILTER	8/19/2004	BPV	540258	Caval Perforation				
BARD-RECOVERY FILTER	8/13/2004	BPV	545130	Filter Fracture				
RECOVERY FILTER	8/5/2004	BPV	538204	migration	L3 to T9/10			
RECOVERY FILTER	8/5/2004	BPV	538201	migration	L2 to T12			
RECOVERY FILTER	8/4/2004	BPV	538046	Caval perforation				
FEMORAL RECOVERY FIL	7/30/2004	JWL	538589	= 541353	Heart			
RECOVERY FILTER	7/28/2004	BPV	537411	Filter Fracture				
RECOVERY FILTER	7/14/2004	JWL	534603	= 534597	duplicate of 534597			
BARD	7/14/2004	JWL	534597	Filter embolization	right ventricle	Death	-	
RECOVERY FILTER	7/7/2004	BPV	533379	Other	Pain			
RECOVERY FILTER	6/21/2004	BPV	541295	Filter Fracture	retrieval attempts caused fracture?			
RECOVERY FILTER	6/21/2004	JWL	531130	Filter embolization	tricuspid valve			
RECOVERY FILTER	6/14/2004	JWL	530079	Filter embolization	heart	Death	-	
RECOVERY FILTER	6/4/2004	JWL	531446	= 525383	duplicate of 525383			
BARD PERIPHERAL VASC	6/1/2004	JWL	528008	= 530079	duplicate of 530079			
RECOVERY VENA CAVA	5/24/2004	BPV	526245	Filter Fracture				
RECOVERY FILTER	5/21/2004	BPV	526110	Pulmonary embolism	4cm + PE			
RECOVERY FILTER	5/21/2004	BPV	526110	Migration	4cm + PE			
RECOVERY FILTER	5/12/2004	JWL	525383	Filter embolization	right ventricle	Death	-	
CR BARD	4/28/2004	JWL	522655	= 525383	duplicate of 525383			
RECOVERY FILTER	4/16/2004	BPV	521172	Caval perforation				
RECOVERY FILTER	3/31/2004	BPV	542132	Partial Deployment	Crossed Legs			
RECOVERY FILTER	3/31/2004	BPV	541621	Partial Deployment				
RECOVERY FILTER	3/18/2004	BPV	536423	Filter Fracture				
RECOVERY FILTER	3/18/2004	BPV	516863	Filter embolization	right atrium/doctor pushed it there			
BARD RECOVERY FILTER	3/8/2004	JWL	515237	Filter embolization	right atrium	Death	<del>-</del>	
RECOVERY FILTER	3/2/2004	BPV	514355	Pulmonary Embolism	Filter tilted			
BARD	2/17/2004	JWL	511801	= 515237	duplicate of 515237			

#### LMD1 CONFIDENTIAL - SUBJECT TO PROTECTIVE ORDER

Crossed Legs Migration (Supra-renal)	Migration Cro Partial Deployment Cro Migration Mig Caval perforation Pulmonary Embolism	503023 504579 487899 487249 484249	MUL 9	12/23/2003 11/17/2003 10/1/2003 9/15/2003
Mgration (Supra-renal)		-   B  -	496388 487899 484249	BPV 496386 BPV 487699 BPV 484249
	nonary Embolism	>  뉴!	484249	484249
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_	Misplaced Deployment	0			FilteEmb death?							-											11							-														
Ξ	Guidewire Entrapment	-			Death?							Death											i							Death														
9	Filter Fracture	1			Location	Did not open at implant, migrated to hear/lungs	Legs would not open	Did not open	Deployed in pt's gonadel vein	Filter then turned upside down		Pulmonary Artery	Did not open & then tilted		Surgery required to remove filter	Filter & mass in rt atrium	RA				PA	PA			Micration (RA)/Partial Deployment		Migration (PA)		Migration (Lung)/Partial Deployment	Migration (Pulmonary Artery)/Partial Deployment	Migration (Pulmonary Valve)/Partial Deployment	agrandi (Capitaldia)		Migration (PA)	Migration (Rt Atrium)		Migration (PA)	Migration (Rt Atrium)	Migration (PA)	Migration (Intrahepatic)	Migration (PA)	Migration (PA)	Migration (Pulmonary Valve)	Migration (heart)
ш	Pulmonary Embolism	1			Failure	Migration	ployment				Failed Deployment				Failed Deployment	Migration	polization	Filter Tilt	Migration	ent			Partial deployment	Falled Deployment			embolization	Failed Deployment		olization	= 458982	cture	Partial Deployment	Filter embolization	Filter embolization	Failed Deployment	Filter embolization	Filter embolization		ĺ	-	Ì		Filter embolization
ш	Caval Thrombosis	0			FDA#	628618	624755	623284	617223	617110	614111	613670	612832	610574	596302	586485	557804	548359	548359		539352	537548	530752	525267	502789		502269	488892	487738	459982	404/32	443031	439121	433349	431971	429650	429648	395792	397413	395251	394714	393904	388359	385985
۵	Caval Perforation	0			Source	KMJ	KMJ	KMJ	KMJ	KMJ	KMJ	ΚΜΩ	KMJ	KMJ	KW	KWJ	BPV	BPV	BPV	BPV	JWL	JWL	JW.	JWL BDV	JWF	BPV	JWL	BPV	JWL	JWL	JWL BPV	BPV	BPV	JWL	JWL	BPV	JWL	JWL	JWL	JWL	JWL	JWL	JWL	JWL BPV
ပ	Filter Embolization F	23			Date	8/19/2005	8/5/2005	7/27/2005	6/24/2005	6/23/2005	6/13/2005	6/13/2005	6/8/2005	5/31/2005	4/22/2005	3/23/2005	12/03/04	10/07/04	10/02/04	08/19/04	08/02/04	06/29/04	06/16/04	01/08/04	12/24/03	12/23/03	12/03/03	10/09/03	10/03/03	05/12/03	02/18/03	02/10/03	01/24/03	12/16/02	12/09/02	11/22/02	11/22/02	05/20/02	05/17/02	05/17/02	05/14/02	05/07/02	04/09/02	04/03/02
В	Migration	4			Device	VENA TECH	VENA TECH	VENA TECH	VENA TECH	VENA TECH	VENA TECH	VENA TECH	VENA TECH	VENA TECH	VENA-TECH LP	BRAUN VENA-TECH FILT	VENA TECH	VENA TECH	VENA TECH	VENA TECH	VENA TECH	B. BRAUN	VENA TECH	VENA LECH LE	VENA TECH LP	VENA CAVA FILTER	VENA TECH IVC FILTER	VENA TECH LP	VENA CAVA FILTER SYS	VENA TECH	VENA LECH LE	VENA TECH	VENA TECH LP	VENA TECH	VENA TECH	VENA TECH	VENA TECH	VENA TECH LP	- 1	VENA TECH LP	VENA TECH	VENA TECH	VENA TECH	1 VENA TECH LP
٨	Death 1	2 4	3	5		B. BRAUN MEDICAL			B. BRAUN MEDICAL, IN			B. BRAUN MEDICAL, IN	B. BRAUN MEDICAL, IN		16 B. BRAUN MEDICAL, IN	17 B. BRAUN MEDICAL, IN			B. BRAUN MEDICAL, IN	B. BRAUN MEDICAL, INC	25 B. BRAUN MEDICAL, INC.	B BRAUN MEDICAL INC	B. BRAUN MEDICAL, INC	29 B. BRAUN MEDICAL, INC	B. BRAUN MEDICAL, INC	B. BRAUN MEDICAL, INC	32 B. BRAUN MEDICAL, INC	B BRAIN MEDICAL, INC.	B. BRAUN MEDICAL, INC.	36 B. BRAUN MEDICAL, INC	37 B. BRAUN MEDICAL, INC				B. BRAUN MEDICAL, INC	B. BRAUN MEDICAL, INC	B. BRAUN MEDICAL, INC	B. BRAUN MEDICAL, INC	B. BRAUN MEDICAL, INC.	B. BRAUN MEDICAL, INC.	48 B. BRAUN MEDICAL, INC. 1			

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-			1																						
Ξ					Death						Death														
9	Migration (PA)	Migration (PA)		Migration (Rt Ventricle)	Migration (Heart)	= 348753			Sheath Perforation, Detachment of Component(s)			Deployed Upside-down		Deployed Upside-down	Sheath Perforation, Detachment of Component(s)	Migration (RA)							TANKS.		
4	Filter embolization	Filter embolization	Failed Deployment	Filter embolization	Filter embolization	= 348753	Failed Deployment	Partial Deployment	Other	Failed deployment	Pulmonary embolism	Failed Deployment	= 282920	Failed Deployment		Filter embolization								And the state of t	
Ш	376310	371669	370309	352381	348753	341773	322186	308891	299202	298961	287560	282920	282203	276511	276398	264618									
۵	JWL	JWL	BPV	JWL	JWL	JWL	BPV	BPV	JWL	JWL	JWL	BPV	JWL	BPV	JWL.	JWL									
O	02/11/02	01/16/02	01/04/02	09/19/01	08/23/01	07/13/01	03/22/01	12/15/00	10/02/00	10/02/00	07/27/00	06/20/00	06/20/00	05/04/00	05/04/00	02/24/00									
В	VENA TECH	VENA TECH LGM VENA C	VENA TECH	VENA TECH	VENA TECH	VENA TECH	VENA TECH	VENA TECH	VEAN TECH	VENA TECH	VENA TECH	VENA TECH													
A	49 B. BRAUN MEDICAL, INC	50 B. BRAUN MEDICAL, INC	51 B. BRAUN MEDICAL, INC	$\overline{}$	53 B. BRAUN MEDICAL, INC	-	55 B. BRAUN MEDICAL, INC	56 B. BRAUN MEDICAL, INC	-	58 B. BRAUN MEDICAL, INC	59 B. BRAUN MEDICAL, INC	60 B. BRAUN MEDICAL, INC	_	62 B. BRAUN MEDICAL, INC	63 B. BRAUN MEDICAL, INC	64 B. BRAUN MEDICAL, INC	65	99	29	68	69	70	71	72	73

_	Misplaced Deployment	41		FIITEMD	death?																	1			1				Ì									-		
	Mis Dep				ğ															b					1															
Ŧ	Guidewire Entrapment	12			Death?															Dooth																		Death		
9	Filter Fracture	6			Notes	5 legs prforated caval wall	at implant	Legs crossed	to heart	Deployed prematurely, pt required surgery		Right iliac vein	Rt ventricle then PA & Bard Recovery filter placed		Deployed outside of pt	Left pulmonary artery		Packaging damaged - pin hole	Filter depolyed early	Z miters falled in same pt		Partial Deployment + Migration	Partial Deployment + Migration	Pliter depolyed early	Duplicate of 577957		D	Duplicate of 574621	Duplicate of 574621	Deployed during pre-op			I Wisted Legs	2 filters failed in same of	(not duplicate)			RV	RA	
F	Pulmonary Embolism	4				rforation		Ť	Migration	ployment	$\Box$	Deployment	Migration Failed Denlovment	Partial Deployment			Deployment	$\neg$	ent	Failed Deployment 3		ployment	Ť	Mispiaced Deployment P	157		Farual Deployment				Failed Deployment		Partial Deployment	T						Misplaced Deployment
ш	Caval Thrombo sis	-					T	632439	1	T		T	606333	$\top$	601746		-		$\neg$	585136	Ť		100286	T			574656		574665	П			564597	Ī.,	T	563939				
٥	Caval Perforatio n	10			Source	KMJ	KWI	KW	KM	KMJ	KMJ	KWI	KM.	KMJ	KMJ	KMJ	ZW.	ZWZ	CMX.	X X	KMJ	KMJ	SW2	CMZ	KMJ	KMJ	SWY.	ZW2	KMJ	KMJ	KMJ	ZW2	SW2	KWI	KMJ	KMJ	BPV	BPV	BPV	BPV
ပ	Filter Embolization	34			Date	9/7/2005	9/2/2005	8/31/2005	5/26/2005	5/26/2005	5/26/2005	5/23/2005	5/16/2005	5/13/2005	5/6/2005	4/14/2005	4/6/2005	9/49/2005	3/18/2005	3/17/2005	3/17/2005	3/16/2005	3/10/2005	3/2/2005	3/2/2005	2/25/2005	2/18/2005	2/18/2005	2/18/2005	2/11/2005	1/31/2005	1/26/2005	1772005	1/7/2005	1/7/2005	1/5/2005	12/23/04	12/14/04	12/13/04	12/10/04
В	Migration	14			Device	TITANIUM GREENFIELD	GREENFIELD	COPENICIO 12 ED SC	GREENFIELD 12 FR SS	TITANIUM GREENFIELD	TITANIUM GREENFIELD	GREENFIELD	TITANIUM GREENFIELD	TITANIUM GREENFIELD	GREENFIELD 12 FR SS	TITANIUM GREENFIELD	GREENFIELD 12 FR SS	GREENFIELD 12 FR 55	GREENFIELD 12 FR SS	TITANIUM GREENFIELD	TITANIUM GREENFIELD	TITANIUM GREENFIELD	GREENFIELD 12 FP SS	GREENFIELD 12 FR SS	GREENFIELD 12 FR SS	GREENFIELD VENA CAVA	TITANICIM CREENTIELD	TITANIUM GREENFIELD	TITANIUM GREENFIELD	GREENFIELD 12 FR SS	FILTERWIRE EX EMBOLI	CREENFIELD 12 EP VEN	GREENEIEI D 12 FR SS	TITANIUM GREENFIELD	TITANIUM GREENFIELD	GREENFIELD 12 FR SS	GREENFIELD 12FR SS V	GREENFIELD 12 FR SS	GREENFIELD VENACAVA	III ANIUM GREENFIELD
V	Death	15				$\overline{}$	$\overline{}$	BOSI SCI	BOST SCI	BOST SCI	BOST SCI	BOSI SCI	BOST SCI	BOST SCI	BOST SCI	BOST SCI	BOST SCI	100 LaC	BOST SCI	BOST SCI	BOST SCI	BOST SCI	BOST SCI	BOST SCI	BOST SCI	BOST SCI	BOST SCI	BOST SCI	BOST SCI	BOST SCI	BOST SCI	BOST SCI	BOST SCI	BOST SCI	BOST SCI	BOST SCI	BOST SCI	BOSI SCI	_	BOS I SCI
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I				Death												Death													And the state of t																						
9				Heart	The state of the s									RA	did not open	did not open		did not open	Crossed Legs		did not open		out of box failure	The state of the s		mislabeling			out of box failure	out of box failure	out of box failure	and the state of t	mislabeling		119 and 115 are for 2 GFs	119 and 115 are for 2 GFs					mislabeling		= 539523, but 2 GFs involved	did not open		did not open		out of box failure		Migration, Did not open	did not open
	Filter Fracture	Partial deployment	Failed deployment			Failed deployment	Failed deployment	Misplaced Deployment	Misplaced Deployment	Failed deployment	Failed deployment	Partial deployment	Partial deployment		Partial Deployment d	Г	Misplaced Deployment	Partial Deployment d	Partial deployment C	Partial Deployment	Partial deployment   d	Partial deployment		Partial Deployment	Failed Deployment		= 539659	659								=	Caval Perforation	= 539495	= 539495	Caval Thrombosis	Mislabel	Failed Deployment	Failed Deployment =		ployment	Partial Deployment d	Other				Partial Deployment   d
Е		_		556832					$\neg$	553901 F					546066 F		544555	541936 F	542415 F	П		539670 F	539747 (	539743	533037		_			Т	$\neg$	╅		$\neg$	$\neg$	$\neg$	528/00 530/05	Т	+	543782 (			539517 F						$\neg$		525849
۵	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	JWL	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	JWL	JWL	BPV	SPV	NH8	JWL	BPV	BPV	BPV	JWL	PPV NDV	JWL	JWL	BPV	BPV	BPV	JWL	JWL	BPV	BPV	BPV	BPV	BPV	JWL	BPV
ာ	12/08/04	12/02/04	11/24/04	11/23/04	11/19/04	11/19/04	11/10/04	11/05/04	11/05/04	11/02/04	10/22/04	10/13/04	10/05/04	10/04/04	09/27/04	09/17/04	09/14/04	09/02/04	08/18/04	08/13/04	08/13/04	07/29/04	07/23/04	07/22/04	07/02/04	06/25/04	06/25/04	06/25/04	06/11/04	05/07/04	05/28/04	05/28/04	05/28/04	05/28/04	05/26/04	05/26/04	05/21/04	05/21/04	05/21/04	05/14/04	05/13/04	05/05/04	05/05/04	05/04/04	04/23/04	04/16/04	04/02/04	03/18/04	03/11/04	03/05/04	02/20/04
В	GREENFIELD 12 FR SS	TITANIUM GREENFIELD	GREENFIELD 12 FR SS	GREENFIELD 12 FR SS	12 FR TITANIUM GREEN	GREENFIELD 12 FR SS	GREENFIELD 12 FR SS	GREENFIELD 12 FR SS	GREENFIELD 12 FR SS	GREENFIELD VENA CAVA	TITANIUM GREENFIELD	TITANIUM GREENFIELD	TITANIUM GREENFIELD	GREENFIELD 12 FR SS	TITANIUM GREENFIELD	GREENFIELD 12 FR SS	BOSTON SCIENTIFIC	TITANIUM GREENFIELD	GREENFIELD 12 FR SS	TITANIUM GREENFIELD	GREENFIELD 12 FR SS	GREENFIELD 12 FR SS	GREENFIELD 12 FR SS	TITANIUM GREENFIELD	GREENFIELD 12 FR SS	TITANIUM GREENFIELD	GREENFIELD 12FR 55 V	GREENFIELD 12FR SS V	GREENFIELD 12 FR SS	GREENFIELD 12 FR SS	GREENFIELD VENA CAVA	III ANIUM GREENFIELD	Christin GREENFIELD	TITANIIM GREENFIELD	TITANIUM GREENFIELD	TITANIUM GREENFIELD	GREENFIELD FILTER	GREENFIELD 12 FR SS	TITANIUM GREENFIELD	TITANIUM GREENFIELD	GREENFIELD 12FR SS	TITANIUM GREENFIELD	TITANIUM GREENFIELD	GREENFIELD VENA CAVA	GREENFIELD 12 FR SS	CREENEL A 12ED SE	GREENFIELD 12FR SS	SKEENFIELD IZFR 33 V			
A	BOST SCI				BOST SCI	$\overline{}$		BOST SCI	BOST SCI		$\overline{}$	BOST SCI	BOST SCI	_	BOST SCI	BOST SCI	BOST SCI	BOST SCI	BOST SCI	BOST SCI			BOST SCI	BOST SCI	-	BOST SCI	BOST SCI	BOST SCI	BOST SCI	7 BOSI SCI 27	BOST SCI	$\neg$	BOST SCI	BOST SCI	BOSI SCI	BOSI SCI	85 BOST SCI	BOST SCI	BOST SCI	-	BOST SCI	_	BOST SCI	BOST SCI	BOST SCI	BOST SCI	BOST SCI	BOST SCI	BOSI SCI		BUS   SCI

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Ð	did not open				Dual MDRs with same #	Stuck in Sheath	Crossed Leas	Guidewire Fracture	Crossed Leas	Not = 503450	ממלימים – זמנו	1130	Z niters in report	2 filters in report		Filter Fracture	The state of the s	Migration (SVC)	Lot # M001505010	Guidewire Entrapment			Migration (RA) / Failed Deployment		Migration (Heart)	Migration (Heart)	A RADIA DESCRIPTION OF THE PROPERTY OF THE PRO	Stuck in Sheath	The second section (1981) and the second	L. Tital	riller Fracture	Devoloped Deside-down	Migration (PAVPartial Deployment 1 of # 50-301 (M001503010)	Migration (PA)/Partial Deployment: Lot # 50-301 (M001503010)			2 filters reported, both failed	2 filters reported, both failed	75744	Migration (PA)/Partial Deployment	Migration (PA)/Partial Deployment					Unrelated patient death				1985 procedure	Stuck in Sheath	
		Failed Deployment	Partial Deployment	Misplaced deployment		Failed Deployment				Ī			T	ent	ment		nent	Filter embolization	Misplaced Deployment	Other	Partial Deployment	Partial Deployment	Filter embolization	= 485644	Filter embolization	Failed deployment	Misplaced Deployment		Partial Deployment	nent	Missigned Doplarmont					Partial deployment		ployment					Partial Deployment	Partial Deployment	Misplaced deployment		Partial deployment	Failed deployment	Ħ			
$\neg$	$\neg$			518401 N	510364 F		515533 F		1_	Т		$\neg$	$\rightarrow$		┪	_	T	$\neg$		_		486210 F	$\overline{}$	485728	476087 F		_			473973 F	$\top$	$\top$	т		461796 F			$\Box$	T	T	-				$\neg$				T			440955 P
٥	NPV	BPV	BPV	BPV	JWL	BPV	BPV	JWL	BPV	IWI	IMI	JAAC	JAAF	JWL	SPV	BPV	BPV	JWL	BPV	BPV	BPV	BPV	JWL	JWL	JWL	JWL	BPV	BPV	BPV	JWL	A IW	BPV	JWL	JWL	BPV	JWL	BPV	BPV	JWL	JWL	JWL	JWL	BPV	BPV	JWL	JWL	JWL	BPV	BPV	JWL	BPV	BPV
O	02/19/04	02/18/04	02/06/04	02/05/04	02/02/04	01/28/04	01/26/04	12/18/03	12/11/03	12/11/03	12/00/03	12/09/03	12/04/03	12/04/03	12/03/03	11/18/03	11/12/03	11/12/03	10/27/03	10/08/03	10/01/03	09/24/03	09/18/03	09/18/03	08/06/03	08/06/03	08/01/03	07/28/03	07/23/03	07/23/03	06/24/03	06/24/03	06/04/03	06/04/03	05/22/03	05/20/03	05/20/03	05/20/03	05/20/03	05/19/03	05/19/03	05/15/03	05/15/03	05/15/03	05/15/03	05/15/03	05/01/03	04/14/03	04/02/03	03/14/03	03/02/03	02/04/03
В	GREENFIELD 12FR SS V	GREENFIELD 12FR SS	GREENFIELD VENA CAVA	TITANIUM GREENFIELD	GREENFIELD FILTER VC	TITANIUM GREENFIELD	GREENFIELD VENA CAVA	GREENFIELD FILTER	GREENFIELD VENA CAVA	GREENFIELD VENA CAVA	CREENEIE DE TER	COCCNEIC D CH TED	GREENFIELD FILLER	GREENFIELD FILLER	GREENFIELD 12FR 33	IVC FILIER	ITTANIUM GREENFIELD	116 BOST SCI GREENFIELD 12FR SS V	GREENFIELD FILTER	GREENFIELD 12FR SS	TITANIUM GREENFIELD	GREENFIELD 12FR SS	GREENFIELD 12FR SS V	GREENFIELD 12FR SS V	GREENFILED FILTER	GREENFILED FILTER	GREENFIELD 12FR SS	TITANIUM GREENFIELD	TITANIUM GREENFIELD	GREENFIELD 12FR SS V	TITANIIM CREENFIELD	GREENFIELD 12FR SS V	GREENFIELD FILTER	GREENFIELD FILTER	TITANIUM GREENFIELD	TITANIUM GREENFIELD	TITANIUM GREENFIELD	I TITANIUM GREENFIELD	TITANIUM GREENFIELD	TITANIUM GREENFIELD	TITANIUM GREENFIELD	TITANIUM GREENFIELD	TITANIUM GREENFIELD	GREENFIELD FILTER	TITANIUM GREENFIELD	145 BOST SCI GREENFIELD 12FR SS V	TITANIUM GREENFIELD	TITANIUM GREENFIELD	TITANIUM GREENFIELD	GREENFIELD FILTER	TITANIUM GREENFIELD	TITANIUM GREENFIELD
4 F				103 BOST SCI	104 BOST SCI		106 BOST SCI	107 BOST SCI	BOST SCI					112 BOS1 SCI	BOSI SCI		115 BOST SCI	BOST SCI					BOST SCI			SCI		BOST SCI		128 BOS1 SCI	$\rightarrow$		132 BOST SCI	133 BOST SCI	134 BOST SCI	135 BOST SCI	36 BOST SCI	137 BOST SCI	138 BOST SCI	39 BOST SCI	140 BOST SCI	141 BOST SCI	142 BOST SCI		144 BOST SCI	BOST SCI		147 BOST SCI			SC	151 BOST SCI

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Ξ																			Death			Death					The same of the sa																								
9	Lot # REF 50-300			Migration (IVC)/Partial Deployment	Migration (IVC)/Partial Deployment	Lot # 50-300 (M001503000)	Deployed Upside-down	10.0 (m)		= 432985		Migration (Rt Atrium)	Migration (Rt Atrium)			The state of the s	Lot # 4471005	Migration (RA)	Sheath Penetration of RV	Migration (Intrahepatic)/Partial Deployment	Migration (Intrahepatic)/Partial Deployment	Partial Deployment/2nd filter placed	Migration (PA)/Partial Deployment	Migration (PA)			Migration (RA)	Migration (RA)		1990			Lot # 4003871	Migration (heart)				101 10 - 11 - 12 - 12 - 13 - 13 - 13 - 13 - 13	Migration (remoral to SVC)		The second secon		, y mighty		Lot # 3945902		Migration (RA, then RV)	Migration (PA)		Company of the Compan	
ш.	Misplaced Deployment	Partial Deployment	Misplaced Deployment	Partial deployment	Migration	Misplaced Deployment	Partial Deployment	Partial Deployment	Partial Deployment	= 432985	Tilted filter	Partial deployment	Filter embolization	Misplaced Deployment	Partial Deployment	Partial Deployment	Partial Deployment	Filter embolization	Other	Partial deployment	Filter embolization	Pulmonary Embolism	Filter embolization	Filter embolization	Partial Deployment	Partial Deployment	Partial deployment	Filter embolization	Guidewire Entrapment	Misplaced Deployment	Partial Deployment	Partial Deployment	Failed Deployment	Filter embolization	Partial Deployment	Partial Deployment	Partial Deployment	Partial Deployment	Micelaced Designati	Partial Dentoyment	Partial Denlovment	Partial Denloyment	Partial Denloyment	Partial Denloyment	Partial Deployment	Partial Deployment	Filter embolization	Filter embolization	Partial Deployment	Partial Deployment	Partial Deployment
П	$\neg$	$\Box$	439135			$\neg$	$\neg$	$\neg$		432854	$\neg$		426579	420538	419024	417286	413651	406331	403115	402548	402548	400981	401001	400984	399412	-	399256	399256					$\neg$	_			$\neg$	$\top$	365200	Т	7	1		Т	Т		356485	356469		$\overline{}$	352539
Ω	BPV	BPV	BPV	JWL	JWL	BPV	8PV	BPV	BPV	BPV	BPV	JWL	JWL	BPV	BPV	BPV	BPV	JWL	BPV	JWI.	JWL	BPV	JWL	JWL	BPV	BPV	JWL	JWL	BPV	BPV	BPV	BPV	BPV	JWL	BPV	BPV	BPV	DPV	JWL RPV	RPV	BPV	RPV	BPV	BPV	BPV	BPV	JWL	JWL	BPV	BPV	BPV
O		01/24/03	01/21/03	01/10/03	01/10/03	01/03/03	12/30/02	12/13/02	12/13/02	12/13/02	12/10/02	11/08/02	11/08/02	10/03/02	09/25/02	09/16/02	08/19/02	07/18/02	07/02/02	06/25/02	06/25/02	06/20/02	06/19/02	06/19/02	06/12/02	06/12/02	06/11/02	06/11/02	06/06/02	05/22/02	04/18/02	03/29/02	03/07/02	02/28/02	02/21/02	02/07/02	01/22/02	12/14/02	12/11/01	12/05/01	11/19/01	11/08/01	10/22/01	10/22/01	10/18/01	10/18/01	10/18/01	10/18/01	10/11/01	10/02/01	09/17/01
В	GREENFIELD FILTER	GREENFIELD 12FR SS V	TITANIUM GREENFIELD	TITANIUM GREENFIELD	TITANIUM GREENFIELD	GREENFIELD FILTER	GREENFIELD FILTER	III ANIOM GREENFIELD	TITANIUM GREENFIELD	TITANIUM GREENFIELD	TITANIUM GREENFIELD	GREENFIELD 12FR SS	GREENFIELD 12FR SS	GREENFIELD 12FR SS	GREENFIELD 12FR SS	GREENFIELD 12FR SS	GREENFIELD FILTER	GREENFIELD 12FR SS	TITANIUM GREENFIELD	GREENFIELD 12FR SS	GREENFIELD 12FR SS	TITANIUM GREENFIELD	TITANIUM GREENFIELD	TITANIUM GREENFIELD	TITANIUM GREENFIELD	TITANIUM GREENFIELD	GREENFIELD 12FR SS	GREENFIELD 12FR SS	GREENFIELD 12FR SS	TITANIUM GREENFIELD	GREENFIELD FILTER	III ANIUM GREENFIELD	GREENFIELD FILTER	GREENFIELD 12FR SS	TITANIUM GREENFIELD	GREENFIELD 12FR SS	GREENFIELD 12FR SS	TITANIOM GREENFIELD	GREENEIELD 12ER SS	TITANIIM GREENFIELD	GREENFIELD 12FR SS	GREENFIELD 12FR SS	TITANIUM GREENFIELD	GREENFIELD 12FR SS	GREENFIELD FILTER	GREENFIELD 12FR SS	GREENFIELD 12FR SS	GREENFIELD 12FR SS	GREENFIELD 12FR SS	GREENFIELD 12FR SS	TITANIUM GREENFIELD
A	BOST SCI		_		BOST SCI	-				· .,		-											174 BOST SCI	i i	176 BOST SCI 7	BOST SCI	178 BOST SCI	179 BOST SCI	SCI	- 1		- 1				BOST SCI	188 BOST SCI			- 1	BOST SCI	BOST SCI			BOST SCI	198 BOST SCI	t		201 BOST SCI	202 BOST SCI	203 BOST SCI

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I		Death																							Death										Death														
9		Failed Deployment	Failed Deployment					Translation of the Control of the Co	1976		right atrium							Deployed Upside-down		Guidewire pulled filter into iliac	Migration (PA)	A District Control of the Control of		Filter Fracture		Migration (Rt atrium)/GVV entrapment				The state of the s	Migration (Cephalad)	Migration (Cephalad)	Migration (Cephalad)	imigration (Cephalau)					The second secon		Migration (Kt Atrum)	Micration (Heart)			- William districts		Filter Fracture		Migration (Rt Atrium)/Guidewire Entrapment
Ь	Partial Deployment	Pulmonary Embolism	Failed deployment	Partial Deployment	Partial Deployment	Partial Deployment	Misplaced Deployment	Partial Deployment	Partial Deployment	Misplaced Deployment	Filter embolization	Misplaced Deployment	Misplaced Deployment	Misplaced Deployment	Partial Deployment	Partial Deployment	Misplaced Deployment	Misplaced Deployment	Partial Deployment	Other	Filter embolization	Misplaced Deployment	Partial Deployment	Filter fracture	Caval Perforation	Filter embolization	Caval Perforation	Partial deployment	Partial Deployment	Partial Deployment	Partial deployment	Partial deployment	Migration	Guidewire Entranment	Caval Perforation	Partial Deployment	Partial Deployment	Partial Deployment	Partial Deployment	Partial Deployment	Filter embolization	Fifter embolization	Partial Deployment	Guidewire Entrapment	Partial Deployment	Partial Deployment	Filter fracture	Partial Deployment	Migration
	$\Box$	349045 F	349045 F		347784 F	347656 F	346828	343949 F		343960	343617 F			П		$\neg$				$\neg$			$\neg$			324280			317528 F		$\neg$	$\neg$	3152/4 1	$\top$				$\neg$		$\neg$	311046			309310	308044 F	307740 F		$\neg \tau$	306489 N
O	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	JWL	JWL	N N	BPV	BPV	BPV	JWL BDV	BPV	JWL	BPV	BPV	JWL	JWL	JWL	RPV	BPV	BPV	BPV	BPV	BPV	APV	JWL	JWI.	8PV	BPV	BPV	BPV	BPV	BPV	JWL
၁	09/06/01	08/24/01	08/24/01	08/16/01	08/16/01	08/15/01	08/09/01	07/25/01	07/25/01	07/25/01	07/25/01	07/16/01	07/11/01	07/09/01	06/25/01	06/22/01	06/22/01	06/22/01	06/13/01	06/06/01	06/06/01	05/1//01	04/24/01	04/20/01	04/10/01	04/06/01	03/09/01	02/28/01	02/20/01	02/14/01	02/09/01	02/09/01	02/09/01	02/08/01	02/02/01	01/25/01	01/17/01	01/16/01	01/10/01	01/10/01	01/02/01	01/04/01	12/20/00	12/19/00	12/08/00	12/07/00	12/07/00	12/05/00	11/27/00
В	_	BOST SCI GREENFIELD FILTER	BOST SCI GREENFIELD FILTER					211 BOST SCI TITANIUM GREENFIELD	- 1	BOST SCI TITANIUM GREENFIELD	214 BOST SCI GREENFIELD 12FR SS	SCI	- 1			- 1	- 1			-11			200	_ 1	228 BOST SCI GREENFIELD 12FR SS	230 ROST SCI TITANII IM CREENEIELD			- 1	SC	- 1	- 1	237 BOST SCI GREENFIELD 1ZFR SS							245 BOST SCI GREENFIELD 12FR SS	BOST SCI GREENFIELD 12FR SS			BOST SCI GREENFIELD 12FR SS				- 1	255 BOST SCI GREENFIELD 12FR SS

#### CONFIDENTIAL - SUBJECT TO PROTECTIVE ORDER

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I	Death																						
9		- Control of the Cont						Migration (Rt Atrium)/Partial Deployment			Total Control of the	The state of the s		Right atrium	Right atrium		- Company - Comp	The state of the s	right atrium		The state of the s		
ш	Pulmonary Embolism	Partial Deployment	Guidewire entrapment	Caval perforation	Partial Deployment	Misplaced Deployment	264706 Partial Deployment	262672   Filter embolization	261703 Filter embolization	261685 Guidewire entrapment	Partial Deployment	Partial Deployment	Partial Deployment	Misplaced deployment		Partial Deployment	Partial Deployment	Partial deployment	Filter embolization	258202 Partial Deployment	258192 Guidewire entrapment	257774 Guidewire entrapment	257468 Guidewire entrapment
Ε	272392	271789	270582	270582	269355	266358	264706	262672	261703	261685	261346	260104	259996	260088	260088	259451	258915	258378	258378	258202	258192	257774	257468
D	BPV	BPV	BPV	BPV	BPV	JWL	BPV	JWL	JWL	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	JWL
ပ	04/02/00	00/08/80	03/23/00	03/23/00	03/13/00	02/29/00	02/24/00	02/15/00	02/08/00	02/02/00	02/03/00	01/24/00	01/24/00	01/20/00	01/20/00	01/19/00	01/13/00	01/11/00	01/11/00	01/06/00	01/06/00	01/06/00	01/02/00
В	GREENFIELD FILTER	309 BOST SCI GREENFIELD 12FR SS	310 BOST SCI GREENFIELD 12FR SS	311 BOST SCI GREENFIELD 12FR SS	312 BOST SCI GREENFIELD 12FR SS	313 BOST SCI GREENFIELD FILTER	314 BOST SCI TITANIUM GREENFIELD	TITANIUM GREENFIELD	316 BOST SCI GREENFIELD 12FR SS	317 BOST SCI GREENFIELD 12FR SS	318 BOST SCI GREENFIELD 12FR SS	TITANIUM GREENFIELD	320 BOST SCI GREENFIELD 12FR SS	321 BOST SCI GREENFIELD 12FR SS	322 BOST SCI GREENFIELD 12FR SS	TITANIUM GREENFIELD	324 BOST SCI GREENFIELD 12FR SS	325 BOST SCI GREENFIELD FILTER	326 BOST SCI GREENFIELD FILTER	TITANIUM GREENFIELD	328 BOST SCI GREENFIELD 12FR SS	GREENFIELD 12FR SS	330 BOST SCI GREENFIELD 12FR SS
∢	308 BOST SCI	309 BOST SCI	310 BOST SCI	311 BOST SCI	312 BOST SCI	313 BOST SCI	314 BOST SCI	315 BOST SCI	316 BOST SCI	317 BOST SCI	318 BOST SCI	319 BOST SCI	320 BOST SCI	321 BOST SCI	322 BOST SCI	323 BOST SCI	324 BOST SCI	325 BOST SCI	326 BOST SCI	327 BOST SCI	328 BOST SCI	329 BOST SCI (	330 BOST SCI (

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¥	Failed	3																												1												AND THE RESERVE OF THE PERSON
7	Partial Deployment	0			0																																					
	Misplaced Deployment	9		FIIEmb	death?																																					and the same and t
I	Guidewire Entrapment	0			Death?														Dooth																							
Э	Filter Fracture	7			Location		2 Fractures + Perforation	2 Fractures + Perforation					Into liver and aorta		Partial Deployment	Filter Fracture	Filter Fracture	IVC perforation	Bariafric surgery	Into duodenum, fistula				Mioration (Dt Atrium)	Hoort	Filter Fracture			DeliverySystem													
Ъ	Pulmonary Embolism	1			Failure	Caval perforation	Caval perforation	Filter Fracture	Filter Fracture	Caval perforation	Failed deployment	Filter fracture	Caval perforation	Caval Perforation	Migration	Filter Fracture	Filter Fracture	Caval perforation	Pulmonary Embolism	Caval perforation	= 410382	Caval Perforation	Caval Perforation	= 400730	olization		Failed deployment	Misplaced Deployment	Failed Deployment	Misplaced Deployment	Misplaced Deployment	Misplaced Deployment	Caval Perforation	Misplaced Deployment								
Е	Caval Thrombosis	0				623050	T		586889	582927	548688	Ť						4582/4					400758	Ì	Ť			T		273790				261910								
۵	Caval Perforation	11				KMJ	KMJ	KMJ	KMJ	KMJ	BPV RPV	M	JWL	BPV	BPV	BPV	BPV	JWL BPV	BPV	JWL	JWL	BPV	NA N	IMI.	IM	BPV	JWL	BPV	BPV	BPV	BPV	BPV	BPV	BPV								
ပ	Filter Embolization	-			Date	7/28/2005	7/21/2005	7/21/2005	3/31/2005	3/18/2005	10/15/04	02/05/04	11/25/03	10/22/03	10/13/03	08/29/03	08/02/03	12/03/02	08/29/02	08/27/02	08/15/02	08/15/02	06/21/02	12/05/01	08/06/01	06/18/01	04/16/01	02/26/01	09/18/00	04/24/00	03/30/00	03/23/00	03/20/00	02/11/00								
В	Migration	_				GIANTURCO-ROEHM BIRD	GIANTURCO-ROEHM BIRD	GIANTURCO-ROEHM BIRD	GIANTURCO-ROEHM BIRD	GIANTUROO-ROEHM BIRD	GIANTURCO-ROEHM BIRD	GIANTURCO-ROFHM BIRD	GIANTURCO-ROEHM BIRD	GIANTURCO-ROEHM BIRD	GIANTURCO-ROEHM BIRD	GIANTURCO-ROEHM BIRD	GIANTURCO-ROEHIM BIRD	GIANTURCO-ROEHM BIRD	GIANTURCO-ROEHM BIRD	GIANTURCO-ROEHM BIRD	GIANTURCO-ROEHM BIRD	GIANTURCO-ROEHM BIRD	GIAN LURCO-ROEHM BIRD	GIANTURCO-ROFHM BIRD	BIRDSNEST	GIANTURCO-ROEHM BIRD	GIANTURCO-ROEHM BIRD	GIANTURCO-ROEHM BIRD	GIAN I URCO-ROEHM BIRD	GIANTURCO-ROEHM BIRD												
∢	Death	-			any			COOK	COOK, INC.	COOK, INC.	COOK, INC.	COOK INC	COOK, INC.	SOOK INC	COOK INC	COOK, INC.	COOK, INC.	COOK, INC.	COOK, INC.	COOK INC	COOK INC	COOK, INC.	COOK, INC.	COOK, INC.	COOK	S CONTRACTOR	COOK, INC.		COOK, INC.	COOK, INC.												
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¥	Failed	13																			24																									
ſ	Partial	17				7																																								
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H	Guidewire	0			CHOOL	Deamily						Death					Dooth										Donth	Deam																		
G	Filter Fracture	0			Notos	Notes	i constant		vessel pierced?	bled profusely from access site		Guidewaire & sheath in heart				1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Opposed Itself to the cava wall	Supplied Supplied Colors		200	Leas did not fully open	Copy did not fully open	Legs ald not fully open	ritter opened asymmetrically	Legs did not fully open	Legs did not fully open				crossed legs			Delective Part Broken Spare	retrieval failure					Migration (Suprarenal)			Filter Did Not Open	Rt Atrium			
ш	Pulmonary Embolism	2			Callina	allule Collod dooloumont	Migration	Failed deployment			Partial deployment	mponent(s)	Caval Perforation	Partial deployment	Partial deployment	E 5	Tilted Filter	Jenlovment	Caval Perforation	rather.	Partial deployment	Dartial deplacement	Partial deployment	Partial deproyment	Partial deployment	Partial deployment	Caval Thrombosis	Failed deployment	Caval Perforation	+	Caval Thrombosis	Caval Perforation			Filted Filter	Failed deployment	Migration	Caval Perforation		Caval Perforation				Partial Deployment	Partial Deployment	Failed Deployment
ш	Caval Thrombosis	2			# 403	Ť			T		622818		1		589113	T	Ť			, NS-875	T	T				570330						534699		П	521847		519818 N	_								488736 F
٥	Caval Perforation	-			Course	Source		CW3	KM	KMJ	KMJ	CM3	CW2	CW2	ZWZ	CIAN	ZWX	KW	KMJ	K	KW.	KWI		CM2	CM2	S S	SPV V	BPV	BPV	BPV	BPV	2 de 10 de 1	BPV	BPV	BPV	BPV	BPV	BPV	BPV	BPV	JWL	BPV	JWL	BPV	BPV	BPV
ပ	Filter Embolization	8			Date	0/23/2005	9/9/2005	9/9/2005	9/7/2005	7/27/2005	7/27/2005	6/15/2005	5/27/2005	4/2/12005	4/8/2005	4/1/2005	3/25/2005	3/4/2005	3/4/2005	2/25/2005	2/24/2005	2/18/2005	2/18/2005	2/10/2003	2/10/2005	2/4/2005	10/21/04	10/15/04	10/08/04	8/26/2004	7/23/2004	6/3/2004	05/07/04	5/7/2004	04/22/04	04/14/04	04/08/04	04/08/04	03/22/04	03/19/04	02/11/04	02/11/04	12/29/03	11/20/03	10/28/03	10/14/03
В	Migration	9			Dovice	GINTHER TILLID VENA	GUNTHER THIS VENA C	GUNTHER TULIP	GUNTHER TULIP VENA C	GUNTHER TULIP VENA C	GUNTHER TULIP VENA C	GUNTHER TULIP VENA C	GUNIHER IULIP VENA C	GUNIHER TOLIP VENA C	GUNINER TOLIP VENA C	CINTLED THE DYENA C	GUNTHER TUI IP VENA C	GUNTHER TULIP VENA C	GUNTHER TULIP VENA C	INKNOWN BRAND NAME	GUNTHER TULIP VENA C	GIINTHER TIII ID VENA C	GUNTHER THI ID VENA	CLINTLED THE DYENA	CONTINED THE SYLVES	GUNTHER TILL DIVENA C	GUNTHER THE VENA C	GUNTHER TULIP VENA C	GUNTHER TULIP VENA C	GUNTHER TULIP VENA C	GUNTHER TULIP VENA C	CONTRER TOLIF VENA C	GUNTHER TULIP	GUNTHER TULIP VENA C	GUNTHER TULIP	GUNTHER TULIP	GUNTHER TULIP	GUNTHER TULIP	GUNTHER TULIP	GUNTHER TULIP	GUNTHER TULIP VENA C	GUNTHER TULIP	GUNTHER TULIP VENA C	GUNTHER TULIP VENA C	GUNTHER TULIP VENA C	GUNTHER TULIP VENA C
4	Death	7			Company	T			COOK			COOK, INC.	COOK, INC.	COOK, INC.	COOK, INC.	COOK, INC.	COOK INC	$\overline{}$		COCK INC	COOK, INC.	_		-		SOON INC		_	COOK, INC.	_	S.	COOK, INC.							<u>.</u>		-		-			COOK, INC.
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ш.	Filter	Partial deployment	Filter embolization	Caval Perforation	uo.	Partial deployment	Partial deployment	Filter embolization	Pulmonary embolism	on	filter	Filter embolization	Misplaced Deployment	Failed Deployment	on deproyment	Failed deployment	Failed deployment	Filter embolization	Caval Perforation	Failed deployment	Filter embolization	Misplaced deployment	Filter embolization	Caval Perforation	Misplaced Deployment	Filter embolization	Misplaced Deployment		Caval perforation
	Tilted Filter	Partial	Filter	Caval	Migration	Partia	Dartia	Filter	Pulmo	Migration	Tilted filter	Filter	Mispla	Failed	Midration	Failed	Failed	Filter e	Caval	Failed	Filter	Mispla	Filter e	Caval	Mispla	Filter e	Mispla	Other	Caval
ш	474088	464216	464216	452767	449607	44/612	444069	444069	440084	440084	436917	432928	429105	416378	407673	406758	400782	400782	398939	397217	397217	394030	394030	391390	390356	381305	381305	378605	363598
۵	BPV	JWL	JWL	BPV	BPV	2 2	BPV	JW.	BPV	BPV	BPV	JWL	BPV	BPV BPV	M	BPV	JWL	JWL	BPV	JWL	M	JWL	JWL	BPV	BPV	BPV	BPV	M 2	8PV
0	07/29/03	06/06/03	60/90/90	04/09/03	03/25/03	03/12/03	02/24/03	02/24/03	01/30/03	01/30/03	01/10/03	12/17/02	11/25/02	09/16/02	07/30/02	07/25/02	06/21/02	06/21/02	06/12/02	05/30/02	05/30/02	05/16/02	05/16/02	05/02/02	9/02	03/13/02	03/13/02	02/27/02	8/01
	07/2	0/90	0/90	04/0	03/2	03/1	02/20	02/2	01/3	01/3	01/1	12/1	11/2	09/1	07/3	07/2	06/2	06/2	06/1	05/3	05/3	05/7	05/1	0/90	04/29/02	03/1	03/1	02/2	09/28/01
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اء	GUNTHER TULIP	GUNTHER TULIP	GUNTHER TULIP	GUNTHER TULIP	GUNTHER TULIP	GUNINER TOLIP	GUNTHER TULIP	GUNTHER TULIP	GUNTHER TULIP	GUNTHER TULIP	<b>GUNTHER TULIP</b>	<b>GUNTHER TULIP</b>	GUNTHER TULIP	GUNTHER TULIP	GUNTHER TUI IP VENA C	FUNTHER TULIP VENA C	<b>GUNTHER TULIP</b>	GUNTHER TULIP	GUNTHER TULIP	GUNTHER TULIP	GUNTHER TULIP	GUNINER IULIP	GUNIHER IULIP	GUNTHER TULIP	GUNTHER TULIP	GUNTHER TULIP	SUNTHER TOLIP	GUNTHER TULIP VENA C	GUNTHER TULIP
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٧	COOK, INC.	COOK, INC.	COOK, INC.	COOK, INC.	COOK, INC.	COOK, INC.	COOK INC.	COOK, INC.	COOK, INC.	COOK, INC.	COOK, INC.	COOK, INC.	COOK, INC.	COOK, INC.	COOK INC.	COOK, INC.	K, INC.	COOK, INC.	COOK, INC.	COOK, INC.	COOK, INC.	COOK, INC.	COOK, INC.	COOK, INC.	COOK, INC.	COOK, INC.	COOK, INC.	COOK, INC.	COOK, INC.
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Trade-List Bernal
19   19   62   8   18   18   18   18   18   18   18
Date   Source   FDA #   Failure   Notes   1
LV         Source         FD4# Frailure         Notes           LV         9/82005         KMA         634304         Failer Fracture           LV         8/82005         KMA         634304         Failer Fracture           LV         8/82005         KMA         63304         Failer Fracture           LV         8/82005         KMA         63204         Failer Fracture           LV         8/82005         KMA         62304         Failer Fracture           LV         8/82005         KMA         62304         Failer Fracture           LV         8/82005         KMA         62304         Failer Fracture           LV         7/182005         KMA         62304         Failer Fracture           LV         7/182005         KMA         62308         Paintonary embolism           LV         7/182005         KMA         62308         Failer Embolization         Morbidy obese pt           LV         7/182005         KMA         637107         Filter embolization         Morbidy obese pt           LV         7/182006         KMA         637109         Cayal Thrombosis         Suprarenal           LV         4/182005         KMA         637109         Fai
IV         9982006         RAM         634320         Falled Deployment           IV         9172006         RAM         63430         Falled Deployment           IV         81302006         RAM         633190         Calval Thrombosis           IV         81202005         RAM         632048         Falled Deployment           IV         81212005         RAM         623093         Calval Thrombosis           IV         8122005         RAM         623093         Calval Thrombosis           IV         81722005         RAM         623093         Calval Thrombosis           IV         81722005         RAM         623093         Calval Thrombosis           IV         81782005         RAM         613207         Falled Deployment           IV         81782005         RAM         613207         Filler embolization           IV         81782005         RAM         613207         Filler embolization           IV         81782005         RAM         569106         Filler embolization           IV         8178205         RAM         569106         Filler embolization           IV         8178205         RAM         569106         Filler embolization         Mortiful
LY         807/2006         RAM         63490 (Filler Fredure)           LY         800/2005         RAM         633490 (Filler Fredure)           LY         812/2005         RAM         632404 (Filler Preductions)           LY         812/2005         RAM         627408 (Filler Inhobosis)           LY         812/2005         RAM         627409 (Capial Thrombosis)           LY         7/18/2005         RAM         627409 (Capial Thrombosis)           LY         7/18/2005         RAM         627409 (Capial Thrombosis)           LY         7/18/2005         RAM         627407 (Capial Thrombosis)           LY         7/18/2005         RAM         627407 (Capial Thrombosis)           LY         7/18/2005         RAM         627141 Migration           LY         7/18/2005         RAM         611082 (Capial Thrombosis)           LY         4/2/2005         RAM         611082 (Capial Thrombosis)           LY         4/2/2005         RAM         63769 (Filler Inholization Morbidly obese pt Representation Morbidly obese pt Representation Morbidly obese pt Representation Morbidly obese pt Representation Representation Representation Representation Representation Representation Representation Representation Representation Representation Representation Representation Representation Representation Representation Representation Representation Represen
TV         8302005         KMM         63319Q Cayal Thrombosis           TV         81220005         KMM         632044         Failed Delpoyment           TV         81220005         KMM         623034         Cayal Thrombosis           TV         81720005         KMM         623017         Pulmonary ambolism           TV         8172005         KMM         623017         Pulmonary ambolism           TV         71182005         KMM         622017         Pulmonary ambolism           LV         71182005         KMM         6212017         Pulmonary ambolism           LE         71182005         KMM         611082         Other           LV         4102005         KMM         598106         Filler embolization         NC fully thrombosed           LV         4102005         KMM         589106         Filler embolization         Suprarienal           LV         4102005         KMM         580404         Cayal Perforation         Perforation<
LV         8122/2005         RMM         623/244         Flaid Deployment           LV         812/2005         KMM         623/958         Dufmonary embolism           LV         814/2005         KMM         622/908         Pulmonary embolism           LV         814/2005         KMM         622/908         Pulmonary embolism           LV         7/14/2005         KMM         61/32/70         Pulmonary embolization           LV         4/29/2005         KMM         598106         Filler embolization         MC Luly thrombosed           LV         4/29/2005         KMM         598106         Filler embolization         NC Luly thrombosed           LV         4/29/2005         KMM         598106         Filler embolization         NC Luly thrombosed           LV         4/29/2005         KMM         598106         Filler embolization         NC Luly t
LV         81222005         KMA         623934         Cayard Informorisis           LV         8172005         KMA         627058         Pullmonary embolism           LV         7/182005         KMA         622067         Pullmonary embolism           LV         7/182005         KMA         622067         Pullmonary embolism           LV         7/182005         KMA         622067         Pullmonary embolism           LV         7/182005         KMA         612267         Filler embolization         Morbidly obese pt           LV         7/182005         KMA         613267         Filler embolization         Morbidly obese pt           LV         6/10/2005         KMA         613267         Filler embolization         Morbidly obese pt           LV         6/10/2005         KMA         613267         Filler embolization         Morbidly obese pt           LV         6/10/2005         KMA         598106         Filler embolization         Morbidly obese pt           LV         4/20/2005         KMA         598106         Filler embolization         Morbidly obese pt           LV         4/20/2005         KMA         598106         Filler embolization         Morbidly obese pt           LV
V
LV         7/18/2005         RNAL         62/2005         Failed Deployment           LV         7/18/2005         RNAL         62/2005         Failed Deployment         In diaphragm           VE         61/2005         RNAL         61/2005         Filled Tembolization         In diaphragm           FV         7/18/2005         RNAL         61/2005         Filler embolization         In diaphragm           FV         4/18/2005         RNAL         61/2005         Filler embolization         In diaphragm           FV         4/18/2005         RNAL         61/2005         Filler embolization         In calculation of the companion of the co
V. 1718/2005         KNAL         6222636         Failed Deployment         In diaphragm           VE 673/2005         KNAL         612767         Miller embolization         Morbidy obese pt           VE 673/2005         KNAL         613267         Filter embolization         Morbidy obese pt           VE 672/2005         KNAL         613267         Filter embolization         Morbidy obese pt           VE 427/2005         KNAL         69108         Filter embolization         Morbidy obese pt           VE 427/2005         KNAL         59168         Filter embolization         Morbidy obese pt           VE 427/2005         KNAL         59168         Filter embolization         NC fully thrombosed           VE 427/2005         KNAL         59168         Filter embolization         NC fully thrombosed           VE 427/2005         KNAL         581284         Failed Deployment         Supprarent           VE 427/2005         KNAL         581284         Failer Embolization         Heart           VE 527/2005         KNAL         581688         Filter Fracture         Filter Fracture           VE 527/2005         KNAL         57330         Carlar Thrombosis         Perforation + Migration           VE 527/2005         KNAL         57349
F.         7/14/2005         KWJ         62174         Migration         loightnagm           VE         6/2/2005         KMJ         617473         Filler embolization         Morbidly obes pt           V         6/2/2005         KMJ         613267         Filler embolization         Morbidly obes pt           V         4/2/2005         KMJ         589106         Filler embolization         Morbidly obes pt           LV         4/2/2005         KMJ         589106         Filler embolization         Morbidly obes pt           LV         4/2/2005         KMJ         589106         Filler embolization         Retroperitoneal bleed           LV         4/2/2005         KMJ         589106         Filler embolization         Retroperitoneal bleed           LV         4/2/2005         KMJ         583561         Failed Deployment         Retroperitoneal bleed           LV         4/2/2005         KMJ         583563         Failer Endolization         Morbidly obes pt           LV         4/2/2005         KMJ         583563         Failer Endolization         Morbidly obes pt           LV         3/18/2005         KMJ         578168         Filler Fracture         Sulfarion           LV         3/19/2005 <th< td=""></th<>
VE         6123/2005         KWA         617473         Filter embolization         Incomparison           IV         4613/2005         KMA         613/267         Filter embolization         Morbidly obese pt           IV         4729/2005         KMA         589106         Filter embolization         NC fully thrombosed           IV         4422/2005         KMA         589106         Filter embolization         NC fully thrombosed           IV         4422/2005         KMA         589106         Filter embolization         Retroperitoneal bleed           IV         4422/2005         KMA         58916         Carlat Plotombosis         Suprarenal           IV         4422/2005         KMA         583561         Faller benchization         Retroperitoneal bleed           IV         4482/2005         KMA         583561         Faller benchization         Performent           IV         3171/2005         KMA         581688         Filter reacture         Perforation           IV         3171/2005         KMA         577372         Filter reacture         Perforation           IV         2172/2005         KMA         57739         Filter fracture         Perforation + Migration           IV         2171/2005
Variation   Vari
6/2/2005   KiMJ   61/1082   Other   International Composed   A/29/2005   KiMJ   598/109   Filter embolization   IVC fully thrombosed   A/29/2005   KiMJ   598/109   Filter embolization   IVC fully thrombosed   A/29/2005   KiMJ   596/264   Failed Deployment   Retroperitoneal bleed   A/29/2005   KiMJ   596/244   Migration   Suprarenal   Salfacous   Salf
LV         4729/2005         KMJ         598106         Filter embolization         IVC fully thrombosed           LV         4422005         KMJ         59784         Failed Deployment         Retroperitoneal bleed           LV         4422005         KMJ         580441         Migration         Suprarenal           LV         4422005         KMJ         584046         Cavial Thrombosis         Suprarenal           LV         3712005         KMJ         583255         Failed Deployment         Retroperitoneal bleed           LV         3712005         KMJ         581289         Cavial Thrombosis         Suprarenal           LV         3712005         KMJ         571289         Filler Fracture         Heart           LV         3712005         KMJ         57639         Filler Fracture         Perforation           LV         2.226,2005         KMJ         57639         Filler Fracture         Perforation + Migration           LV         2.224,2005         KMJ         577349         Cavial Perforation         Perforation + Migration           LV         2.224,2005         KMJ         577349         Cavial Perforation         Perforation + Migration           LV         2.211,2005         KMJ
LV         427/2005         KMJ         597684         Failed Deployment         Retroperitoneal bleed           LV         4727/2005         KMJ         585176         Othler         Supraenal           FV         371/2005         KMJ         583641         Migration         Supraenal           LV         371/2005         KMJ         583661         Failed Deployment         Supraenal           LV         371/2005         KMJ         583661         Failed Deployment         Heart           LV         3718/2005         KMJ         581289         Cayal Thrombosis         Heart           LV         371/2005         KMJ         571720         Filter embolization         Heart           LV         2726/2005         KMJ         57689         Filter Fracture         Fracture           LV         2726/2005         KMJ         57689         Filter Fracture         Fracture           LV         2726/2005         KMJ         57689         Filter Fracture         Fracture punctured aorta           LV         2726/2005         KMJ         57689         Filter Fracture         Fracture came pt           LV         2721/2005         KMJ         576849         Fracture         Fracture
LV         4/22/2005         KMJ         595176         Othler         Retroperitoneal bleed           LV         4/82/2005         KMJ         580441         Migration         Suprarenal           LV         3/12/2005         KMJ         582655         Cayal Thrombosis         Cayal Thrombosis           LV         3/16/2005         KMJ         582555         Cayal Perforation         Heart           LV         3/16/2005         KMJ         581688         Filer Fracture         Heart           LV         3/16/2005         KMJ         581688         Filer Fracture         Heart           LV         3/3/2005         KMJ         581688         Filer Fracture         Interpretation           LV         2/26/2005         KMJ         576373         Filer Fracture         Interpretation           LV         2/24/2005         KMJ         576373         Filer Fracture         Interpretation + Migration           LV         2/24/2005         KMJ         576348         Filer Fracture         Perforation + Migration           LV         2/24/2005         KMJ         576348         Filer Fracture         Perforation + Migration           LV         2/24/2005         KMJ         57648         Filer Fr
LV         4/8/2005         KMJ         590441         Migration         Suprarenal           1/1/2005         KMJ         584046         Cavial Thrombosis         Suprarenal           LV         3/1/2005         KMJ         583555         Cavial Thrombosis           LV         3/1/2005         KMJ         581289         Cavial Thrombosis           LV         3/1/2005         KMJ         581688         Filier renabilization         Heart           LV         3/1/2005         KMJ         579168         Filier Fracture         Pulmonary artery           LV         2/26/2005         KMJ         577948         Filier Fracture         Interture punctured aorta           LV         2/26/2005         KMJ         576373         Filier Fracture         Interture punctured aorta           LV         2/26/2005         KMJ         576373         Filier Fracture         Interture punctured aorta           LV         2/26/2005         KMJ         577949         Migration         Perforation + Migration           LV         2/21/2005         KMJ         577949         Migration         Perforation + Migration           LV         2/11/2005         KMJ         577949         Migration         Perforation + Migration
LV         312/12005         KMJ         584046         Caylal Thrombosis           LV         31/82005         KMJ         583561         Failed Deployment           LV         31/82005         KMJ         581265         Cayal Perforation           LV         31/02005         KMJ         581289         Cayal Perforation           LV         31/02005         KMJ         579168         Filter embolization         Heart           LV         31/02005         KMJ         579168         Filter Fracture         Pulmonary artery           LV         21/28/2005         KMJ         576690         Filter Fracture         Pulmonary artery           LV         21/28/2005         KMJ         576690         Filter Fracture         Perforation + Migration           LV         21/28/2005         KMJ         574994         Cayal Perforation         Perforation + Migration           LV         21/28/2005         KMJ         574994         Migration         Perforation + Migration           LV         21/21/2005         KMJ         57304         Friller Fracture         Perforation + Migration           LV         21/12/2005         KMJ         57304         Friller Fracture         All 1/2005           LV<
LV         3718/2005         KMJ         583561         Failed Deployment           LV         3718/2005         KMJ         58255         Caval Perforation           LV         371/2005         KMJ         581289         Caval Thrombosis           LV         2128/2005         KMJ         578727         Filter Fracture           LV         2128/2005         KMJ         578373         Filter Fracture           LV         2128/2005         KMJ         57839         Filter Fracture           LV         2122/2005         KMJ         57839         Filter Fracture           LV         2171/2005         KMJ         57849         Filter Fracture           LV         2171/2005         KMJ         57840         Fulmonary embolism           LV         2171/2005         KMJ         578426         Fulmonary embolism           LV
LV         3/16/2005         KMJ         582555         Caylal Perforation           LV         3/10/2005         KMJ         581289         Caylal Thrombosis         Heart           LV         3/3/2005         KMJ         581688         Filter Fracture         Heart           LV         3/3/2005         KMJ         581688         Filter Fracture         Pulmonary artery           LV         2/28/2005         KMJ         576930         Filter Fracture         Perforation           LV         2/28/2005         KMJ         576690         Filter Fracture         Fracture punctured aorta           LV         2/24/2005         KMJ         576494         Caylal Perforation         Perforation + Migration           LV         2/24/2005         KMJ         577494         Caylal Perforation         Perforation + Migration           LV         2/24/2005         KMJ         577494         Caylal Perforation         Perforation + Migration           LV         2/24/2005         KMJ         577494         Migration         Perforation + Migration           LV         2/24/2005         KMJ         577494         Migration         Perforation + Migration           LV         2/21/2005         KMJ         577649
LY         3/10/2005         KMJ         561289         Caylal Thrombosis         Heart           LY         3/7/2005         KMJ         5579168         Filter embolization         Heart           14         2/28/2005         KMJ         57707         Filter embolization         Pulmonary artery           17         2/25/2005         KMJ         576630         Filter Fracture         Finter Fracture           VE         2/25/2005         KMJ         576373         Filter Fracture         Fracture punclured aorta           VE         2/22/2005         KMJ         576373         Filter Fracture         Fracture punclured aorta           IV         2/24/2005         KMJ         574994         Migration         Perforation + Migration           IV         2/24/2005         KMJ         577348         Filter Fracture         Perforation + Migration           IV         2/24/2005         KMJ         577499         Migration         Perforation + Migration           IV         2/21/2005         KMJ         577348         Filter Fracture         Perforation + Migration           IV         2/21/2005         KMJ         577340         Pulmonary embolization         Perforation + Migration           IV         2/21/2005
LV         3/1/2005         KMJ         5/19168         Filter matchine         Heart           1/1/2005         KMJ         581668         Filter fracture         Pulmonary artery           1/2         2/28/2005         KMJ         577690         Filter Fracture           1/2         2/25/2005         KMJ         576830         Filter Fracture           1/2         2/24/2005         KMJ         576373         Caval Perforation           1/2         2/24/2005         KMJ         576373         Filter Fracture           1/2         2/24/2005         KMJ         577648         Filter Fracture           1/2         2/24/2005         KMJ         577648         Filter Fracture           1/2         2/2/2005         KMJ         577648         Filter Fracture           1/2         2/2/2005         KMJ         577648         Filter Fracture           1/2         2/11/2005         KMJ         577648         Filter Fracture           1/2         2/11/2005         KMJ         577649         Pulmonary embolisment           1/2         2/11/2005         KMJ         577540         Pulmonary embolisment           1/2         1/1/2005         KMJ         58739         F
LV         3/3/2005         KMJ         581668         Filter Fracture           7.1         2/28/2005         KMJ         577727         Filter Fracture           VE         2/28/2005         KMJ         576937         Filter Fracture           VE         2/28/2005         KMJ         576373         Cayal Perforation         fracture punctured aorta           VE         2/24/2005         KMJ         576373         Cayal Perforation         Fracture punctured aorta           F         2/24/2005         KMJ         576373         Filter Fracture         Fracture punctured aorta           F         2/24/2005         KMJ         576349         Migration         Perforation + Migration           F         2/22/2005         KMJ         577648         Filter Fracture         Perforation + Migration           F         2/16/2005         KMJ         573024         Failed Deployment         Perforation + Migration           F         2/11/2005         KMJ         57640         Pulmonary embolism         Perforation + Migration           F         2/11/2005         KMJ         577540         Pulmonary embolism         Perforation + Migration           F         1/1/2005         KMJ         577540         Pulmonary embolism<
TL         2.26/2005         NAM         55/71/27         Fitter embolization         Pulmonary areay           VE         2.25/2005         KAM         57/630         Fitter Fracture         fracture punctured aorta           VE         2.25/2005         KAM         57/630         Fitter Fracture         fracture punctured aorta           VE         2.22/2005         KAM         57/6373         Cayal Perforation         fracture punctured aorta           F         2.12/2005         KAM         57/4994         Cayal Perforation         Perforation + Migration           F         2.12/2005         KAM         57/4994         Cayal Perforation         Perforation + Migration           IV         2.12/2005         KAM         57/4994         Cayal Perforation         Perforation + Migration           IV         2.12/2005         KAM         57/3024         Filler Fracture         Perforation + Migration           IV         2.11/2005         KAM         57/3024         Falled Deployment         Perforation + Migration           IV         1.1/2005         KAM         57/3024         Falled Deployment         PE & Fracture (same pt)           IV         1.1/2005         KAM         58/3027         Falled Deployment         PE & Fracture (same pt)
LY         2/25/2005         NWJ         53803-3         Fittler Fracture           E         2/25/2005         KMJ         576690         Fittler Fracture           E         2/24/2005         KMJ         576373         Fittler Fracture         Fracture punctured aorta           E         2/24/2005         KMJ         574994         Cayal Perforation         Perforation + Migration           F         2/22/2005         KMJ         574994         Migration         Perforation + Migration           F         2/2/2005         KMJ         577648         Fittler Fracture         Perforation + Migration           F         2/2/2005         KMJ         573024         Falled Deployment         Perforation + Migration           LV         2/11/2005         KMJ         577648         Fittler Fracture         Perforation + Migration           LV         2/11/2005         KMJ         577640         Falled Deployment         Perforation + Migration           LV         2/11/2005         KMJ         587020         Falled Deployment         PE & Fracture (same pt)           LV         1/12/2005         KMJ         587020         Falled Deployment         PE & Fracture (same pt)           LV         1/12/2005         BPV <td< td=""></td<>
VE.         2.125/2005         NWJ         57/8030         Inteller Tracture           1.22/2005         KMJ         57/8037         Caylal Perforation         fracture punctured aorta           1.22/2005         KMJ         57/8034         Caylal Perforation         Perforation + Migration           I.F         21/21/2005         KMJ         57/8094         Migration           I.F         21/21/2005         KMJ         57/7648         Filter Fracture           I.V         21/11/2005         KMJ         57/7648         Filter Fracture           I.V         21/11/2005         KMJ         57/7648         Filter Fracture           I.V         21/11/2005         KMJ         57/7648         Filter Practure           I.V         21/11/2005         KMJ         57/7648         Filter Practure           I.V         21/11/2005         KMJ         57/7648         Filter Practure           I.V         21/11/2005         KMJ         57/7640         Fulled Deployment           I.V         11/2005         KMJ         58/300         Filter Fracture           I.V         11/2005         BPV         55/563         Palter Fracture           I.V         11/2005         BPV         55/563
1.2/2/2005         KWJ         57/63/3         Caylar Perforation         Iracture punctured agna           1.2/2/2005         KMJ         57/63/3         Filther Fracture         Fracture punctured agna           1.V         2/2/2005         KMJ         57/7648         Filther Fracture         Perforation + Migration           1.V         2/2/2005         KMJ         57/7648         Filther Fracture         Perforation + Migration           1.V         2/2/2005         KMJ         55/3138         Filther Embolization         Perforation + Migration           1.V         2/1/2005         KMJ         57/3024         Failed Deployment         Perforation + Migration           1.V         2/1/1/2005         KMJ         57/3024         Failed Deployment         PE & Fracture (same pt)           1.V         2/1/1/2005         KMJ         58/1062         Filter Fracture         PE & Fracture (same pt)           1.V         1/1/2005         KMJ         58/1062         Filter Fracture         PE & Fracture (same pt)           1.V         1/1/2005         KMJ         58/1062         Filter Fracture         PE & Fracture (same pt)           1.V         1/1/2005         KMJ         58/1062         Filter Fracture         PE & Fracture (same pt)
F         2124/2005         KMJ         577639         Tright Tracture         Factoriation Perforation         Perforation Perforation           F         2122/2005         KMJ         577648         Filter Fracture         Perforation + Migration           F         2122/2005         KMJ         577648         Filter Embolization         Perforation + Migration           F         2171/2005         KMJ         573024         Filter Embolization         Perforation + Migration           F         2171/2005         KMJ         573024         Failed Deployment         PE & Fracture (same pt)           F         2171/2005         KMJ         577540         Pulmonary embolism         PE & Fracture (same pt)           F         2171/2005         KMJ         583297         Failed Deployment           F         11/22005         KMJ         587320         Perilal Deployment           F         11/22005         KMJ         587320         Perilal Deployment           F         11/22005         KMJ         587320         Perilal Deployment           F         11/17004         BPV         556563         Filter Fracture         PE & Fracture (same pt)           F         11/17004         BPV         556563         Other
The contract of the contract
L         21222005         KMJ         5778-34         Filter embolization         Find authors pending           L         2175/2005         KMJ         553138         Filter embolization         authors pending           LV         2171/2005         KMJ         5773024         Failed Deployment         Failed Deployment           LV         2171/2005         KMJ         577540         Pulmonary embolism         PE & Fracture (same pt)           LV         1121/2005         KMJ         583297         Failed Deployment         PE & Fracture (same pt)           LV         1121/2005         KMJ         567329         Partial Deployment         PE & Fracture (same pt)           LV         11/21/2005         KMJ         567329         Partial Deployment         PE & Fracture (same pt)           LV         11/21/2005         BPV         5675329         Partial Deployment         PE & Fracture (same pt)           LV         11/21/2005         BPV         565636         Filter embolization         Tricuspid Valve           LV         11/2004         BPV         558568         Filter fracture           LV         11/101/04         BPV         558568         Filter fracture           LV         81/12/2004         BPV         <
L         21/2004         KMJ         57/3024         Filter natural relation           LV         21/1/2005         KMJ         57/3024         Falled Deployment           LV         21/1/2005         KMJ         57/240         Falled Deployment           LV         21/1/2005         KMJ         57/2540         Pulmonary embolism         PE & Fracture (same pt)           LV         1/28/2005         KMJ         58/1062         Filter Fracture         PE & Fracture (same pt)           LV         1/21/2005         KMJ         58/1062         Partial Deployment           LV         1/21/2005         BPV         56/329         Partial Deployment           LV         1/1/21/2005         BPV         56/532         Partial Deployment           LV         1/1/21/2005         BPV         56/532         Partial Deployment           LV         1/1/22/04         BPV         55/5636         Filter Fracture           LV         1/1/20/04         BPV         55/5636         Filter Fracture           LV         1/1/1/04         BPV         55/5636         Filter Fracture           LV         1/1/1/04         BPV         55/5636         Filter Fracture           LV         8/1/2/2004
LY         21/12005         KMJ         573020         Inferior announcement           LV         21/12005         KMJ         5736426         Failed Deployment           LV         21/12005         KMJ         572540         Pulmonary embolism         PE & Fracture (same pt)           LV         1/28/2005         KMJ         583062         Filter Fracture         PE & Fracture (same pt)           LV         1/12/2005         KMJ         5830297         Failed Deployment         PE & Fracture (same pt)           LV         1/12/2005         BPV         567329         Pailed Deployment         PE & Fracture (same pt)           LV         1/12/2005         BPV         567329         Pailed Deployment         PE & Fracture (same pt)           LV         1/12/2005         BPV         566336         Filter Fracture         PE & Fracture (same pt)           LV         1/1/2004         BPV         558638         Filter embolization         Tricuspid Valve           LV         1/1/17/04         BPV         558688         Filter Fracture         punctured aorta           LV         1/1/17/04         BPV         538729         Cayal Thrombosis         Cayal Thrombosis           LV         8/1/2004         BPV         538739
LV         21/12005         KMJ         576426         Failed Deployment           LV         21/112005         KMJ         572540         Pulmonary embolism         PE & Fracture (same pt)           LV         1/1282005         KMJ         583297         Failed Deployment         PE & Fracture (same pt)           LV         1/1282005         KMJ         583297         Failed Deployment         PE & Fracture (same pt)           LV         1/172005         KMJ         583297         Failed Deployment         Fincture (same pt)           LV         1/172004         BPV         556636         Filter embolization         Tricuspid Valve           LV         1/107104         BPV         558583         Filter fracture         punctured aorta           LV         1/107204         BPV         558588         Filter fracture         punctured aorta           LV         8/12/2004         BPV         558588         Filter fracture         punctured aorta           LV         8/12/2004         BPV         538729         Cayal Thrombosis         Cayal Thrombosis           LV         8/12/2004         BPV         538739         Cayal Thrombosis         Cayal Thrombosis           LV         7/16/2004         BPV
LV         211/2005         KMJ         572540         Pulmonary embolism         PE & Fracture (same pt)           LV         1128/2005         KMJ         581062         Filter Fracture         PE & Fracture (same pt)           LV         112/2005         KMJ         583297         Falled Deployment         PE & Fracture (same pt)           LV         11/1/2005         BPV         550329         Partial Deployment           LV         11/1/2004         BPV         556563         Other           LV         11/1/1/04         BPV         556563         Other           LV         11/1/1/04         BPV         558588         Filter Fracture         punctured aorta           LV         8/1/2/2004         BPV         538729         Cayal Thrombosis         Cayal Thrombosis           LV         8/1/2/2004         BPV         538729         Cayal Thrombosis         Cayal Thrombosis
LV         1/28/2005         KMJ         581062         Filter Fracture         PE & Fracture (same pt)           LV         1/2/2005         KMJ         583297         Failed Deployment           LV         1/5/2005         BPV         5567329         Partial Deployment           LV         1/1/22004         BPV         556653         Filter embolization         Tricuspid Valve           LV         1/1/22004         BPV         558563         Othler         Dunctured aorta           LV         1/1/22004         BPV         558563         Othler         Dunctured aorta           LV         1/1/2004         BPV         558583         Filter Fracture         punctured aorta           LV         8/1/2/2004         BPV         558583         Filter Fracture         punctured aorta           LV         8/1/2/2004         BPV         538721         Cayal Thrombosis         Cayal Thrombosis           LV         8/1/2/2004         BPV         538731         Cayal Thrombosis         Cayal Thrombosis           LV         7/1/6/2004         BPV         535731         Cayal Hornbosis         Cayal Hornbosis           LV         7/1/6/2004         BPV         5353737         Failed Deployment
LV         1/2/12005         KMJ         583297         Failed Deployment           LV         1/5/2005         BPV         567329         Partial Deployment           LV         11/22/04         BPV         556636         Filter embolization         Tricuspid Valve           LV         11/17/04         BPV         555653         Other         Punctured aorta           LV         11/10/104         BPV         55858         Filter Fracture         punctured aorta           LV         8/12/2004         BPV         538721         Cayal Thrombosis           LV         8/12/2004         BPV         538731         Cayal Thrombosis           LV         8/12/2004         BPV         538731         Cayal Thrombosis           LV         7/16/2004         BPV         535731         Cayal Thrombosis           LV         7/13/2004         BPV         535731         Cayal Thrombosis
LV         1/5/2005         BPV         567329         Partial Deployment           LV         11/2204         BPV         556636         Filter embolization         Tricuspid Valve           LV         11/17/04         BPV         555636         Filter Fracture         punctured aorta           LV         8/12/2004         BPV         558721         Cayal Thrombosis         Cayal Thrombosis           LV         8/12/2004         BPV         538721         Cayal Thrombosis           LV         8/12/2004         BPV         538731         Cayal Thrombosis           LV         8/12/2004         BPV         538731         Cayal Thrombosis           LV         7/16/2004         BPV         535731         Cayal thrombosis           LV         7/13/2004         BPV         535737         Failed Deployment
LV         11/22/04         BPV         556636         Filther embolization         Tricuspid Valve           LV         11/17/04         BPV         55563         Othler         Differ           LV         11/10/104         BPV         55858         Filther Fracture         Punctured aorta           LV         8/12/28/04         BPV         558721         Cayal Thrombosis         Cayal Thrombosis           LV         8/12/2004         BPV         538729         Cayal Thrombosis         Cayal Thrombosis           LV         8/12/2004         BPV         538733         Cayal Thrombosis         Cayal Thrombosis           LV         7/16/2004         BPV         535731         Cayal Hondoxialon         Cayal Hondoxialon           LV         7/13/2004         BPV         53437         Failed Denlowment         Failed Denlowment
LV         11/17/04         BPV         555653         Othler           11/01/04         BPV         55858         Filter Fracture         punctured aorta           10/28/04         BPV         558151         Cayall Thrombosis         Cayall Thrombosis           LV         8/12/2004         BPV         538729         Cayall Thrombosis           LV         8/12/2004         BPV         538739         Cayall Thrombosis           LV         8/12/2004         BPV         538731         Cayall Thrombosis           T/16/2004         BPV         535731         Cayall Thrombosis           TV         7/16/2004         BPV         535737         Cayall Thrombosis           TV         7/13/2004         BPV         534357         Failed Denlowment
11/01/04   BPV   558588   Filter Fracture   punctured aorta   10/28/04   BPV   5561521   Caylal Thrombosis   Editorial   Edi
10/28/04         BPV         561521         Cayal Thrombosis           V         8/12/2004         BPV         538721         Cayal Thrombosis           V         8/12/2004         BPV         538729         Cayal Thrombosis           IV         8/12/2004         BPV         538833         Cayal Thrombosis           7/16/2004         BPV         535731         Cayal Perforation           7/16/2004         BPV         535731         Cayal Irrombosis           V         7/13/2004         BPV         534357         Failed Denkonment
LV         8/12/2004         BPV         538721         Cayal Thrombosis           LV         8/12/2004         BPV         538729         Cayal Thrombosis           LV         8/12/2004         BPV         538833         Cayal Thrombosis           7/16/2004         BPV         535731         Cayal Perforation           V         7/13/2004         BPV         535737         Failed Denkonment
IV         8/12/2004         BPV         538729         Cayal Thrombosis           IV         8/12/2004         BPV         538833         Cayal Thrombosis           7/16/2004         BPV         535731         Cayal Perforation           7/16/2004         BPV         535731         Cayal thrombosis           V         7/13/2004         BPV         534357         Failed Denkonment
IV         81/2/2004         BPV         53833         Cayla Thrombosis           7/16/2004         BPV         535731         Cayla Perforation           7/16/2004         BPV         535731         Cayla Ihrombosis           V         7/13/2004         BPV         534357         Failed Denlowment
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9	Notes	PA	Lumbar(?)	SVC (BARIATRIC)	SVC (BARIATRIC)				Filter Did Not Open					Strut Bent	Rt Ventricle	BARIATRIC	Migration			Migration (Rt Atrium)	Migration (Kt Atrium)						Migration (SVC)	(2.2.)							Migration (PA)	10 000	Migration (PA)	Migration (FA)			MW1026472							Ottoods in Choods	Otdon III Sileatii						
ıL	Failure	Filter embolization	Caval Perforation	Filter embolization	Failed deployment	Filter Fracture	Pulmonary embolism	Caval Perforation	Failed Deployment	Filter Fracture	= 510344	Caval Thrombosis	=513006	Other	Filter embolization	Other	Migration	Filter Fracture	Filter Fracture	Filter embolization	Filter embolization	Caval Inrombosis	Caval Inrombosis	Filter Fracture	Caval Infomption	Cayal Perforation	Filter embolization	Caval Perforation	Caval Thrombosis	Caval Thrombosis	Partial Deployment	Filter Fracture	Filter Fracture	Caval Thrombosis	= 471736	Partial Deployment	Filter embolization = 471736	Cover Deferation	Partial Deployment	Caval Thrombosis	Other	= 445807	Caval Thrombosis	= 445807	Caval Thrombosis	Caval Thrombosis	Caval Thrombosis	Caval Perforation	Other	Caval Thrombosis	Caval Perforation	Caval Thrombosis	= 421028	= 421028	= 421028
В	FDA#	532518	530718	525715	525715	522537	522537	514509	512770	525165	509799	510344	513000	513006			501994	502004	498583	495497	492000	493714	493/1/	494160	492011	707357	489092	490223	487678	487682	483427	478085	477020	476182	475628	473871	471730	413020	466036	456208	449105	445805	445807	445967	434341	429685	430340	425265	424106	422521	422969	421028	421045	421059	421132
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U	Date	6/28/2004	06/18/04	4/30/2004	4/30/2004	04/28/04	04/28/04	03/01/04	02/23/04	2/4/2004	2/2/2004	02/02/04	01/20/04	1/20/2004	01/09/04	12/19/03	12/19/03	12/05/03	11/20/03	11/14/03	44,05,03	11/05/03	10/31/03	10/321/03	10/22/03	10/27/03	10/08/03	10/08/03	09/15/03	09/15/03	09/10/03	08/12/03	08/02/03	60/90/80	08/01/03	07/23/03	06/27/03	06/18/03	06/17/03	04/28/03	03/20/03	02/28/03	02/28/03	02/28/03	12/19/02	11/26/02	11/26/02	10/31/02	10/23/02	10/15/02	10/12/02	10/04/02	10/04/02	10/04/02	10/04/02
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	Notes	MOCES		Migration (Suprarenal)	Migration (Suprarenal)								Bariatric use	Migration			Delivery sheath failure		Inferred from MDR										Letter of Concern	Migration (Suprarenal)	Renal vein thrombosis			Stuck in Sheath
ш	Failtre	= 421028	Caval perforation	Migration	Migration	Filter Fracture	= 414801	Caval Thrombosis	Caval Thrombosis	Caval Thrombosis	Partial Deployment	Migration	Pulmonary embolism	Migration	Failed deployment	Partial Deployment	Other	Caval Thrombosis	Caval thrombosis	Caval Thrombosis	Caval Perforation	Caval Perforation	Caval Thrombosis	Caval Thrombosis	Caval Thrombosis	Caval Thrombosis	Caval Thrombosis	Caval Thrombosis	Other	Migration	Other	Filter Fracture	Caval perforation	Failed Deployment
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9		Notes	Migration (Kt Atrium)				Micration (Rt Atrium)	Prigiation (Nt Autolity)	Stuck in Sheath	Stuck in Sheath		Caval thromb. less impt								1000	Sheath Perforation							also had PE	NA:	Imigration (Rt Autum)	Migration (just below renals)	Migration (just below renals)																				
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To Plaintiffs' Memorandum Re Relevancy and Discoverability of FDA Inspection and Warning Letter and Recovery Cone Removal System

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To Plaintiffs' Memorandum Re Relevancy and Discoverability of FDA Inspection and Warning Letter and Recovery Cone Removal System

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1
       IN THE UNITED STATES DISTRICT COURT
                 FOR THE DISTRICT OF ARIZONA
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 3
     IN RE: BARD IVC FILTERS PRODUCTS )
                                  ) MD No.: 02641
 5 LIABILITY LITIGATION
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 7
 9
10
   DO NOT DISCLOSE - SUBJECT TO FURTHER CONFIDENTIALITY REVIEW
11
12
   CONTINUED VIDEOTAPED DEPOSITION OF CHAD MICHAEL MODRA
13
14
15
                      Phoenix, Arizona
16
                      January 20, 2016
                         9:01 a.m.
17
18
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20
21
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23 REPORTED BY:
24 Robin L. B. Osterode, RPR, CSR
25 AZ Certified Reporter No. 50695
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#### Case 2:15-md-02641-DGC Document 988-1 Filed 03/04/16 Page 59 of 62 Do Not Discrose - Subject to Further Confidentiality Review

- 1 need -- you can take MAUDE completely out of the
- 2 picture. You don't even need MAUDE, if the -- for
- 3 the company to determine a rate comparison between
- 4 all of these complications, for all of its IVC
- 5 devices, in comparing those rates to the Simon
- 6 Nitinol Filter. Right?
- 7 A. I'm not --
- 8 Q. You don't understand the question?
- 9 A. No, I didn't under --
- Q. You just told me you don't need MAUDE for
- 11 you to do internal rates of complications, because
- you have actual sales. You have actual complaints.
- A. Correct.
- 14 Q. All right. Take MAUDE. We don't need
- 15 MAUDE. MAUDE could burn. And that's true for the
- 16 Simon Nitinol Filter through and including the Denali
- 17 Filter?
- 18 A. That's true.
- 19 Q. You have all that data, sales data, you
- 20 have complaint data?
- 21 A. Yes, we have --
- Q. And you can do internal rate comparison,
- 23 using your own data, that you know is more reliable
- 24 than what's in MAUDE. Right?
- 25 A. With -- yeah, with some caveats because we

To Plaintiffs' Memorandum Re Relevancy and Discoverability of FDA Inspection and Warning Letter and Recovery Cone Removal System Confidential - Subject to Protective Order

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Page 1
            IN THE UNITED STATES DISTRICT COURT
            IN THE SOUTHERN DISTRICT OF FLORIDA
SAMANTHA BOULDRY, ELUA HUFF,
SANDRA LORENZ, and JANET ROBERTS, )
on behalf of themselves and the
class of all others similarly
situated,
                                   ) Case No:
                                   ) 12-80951-
      Plaintiffs,
                                   ) CIV-ROSENBAUM
vs.
C. R. BARD, INC., a corporation of )
the State of New Jersey, and BARD
PERIPHERAL VASCULAR, INC., a
corporation of the State of
Arizona, et al.,
     Defendants.
       CONFIDENTIAL - SUBJECT TO PROTECTIVE ORDER
          DEPOSITION OF ROBERT MICHAEL CARR, JR.
                     Phoenix, Arizona
                      April 17, 2013
                            BY: KARLA M. MARTIN, RPR, CSR
                                 Arizona CR No. 50485
                 GOLKOW TECHNOLOGIES, INC.
             877.370.3377 ph | 917.591.5672 fax
                      deps@golkow.com
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#### Confidential - Subject to Protective Order

Page 165 1 All right. Number two, the distraught customer wants to know what system is in place to monitor 2 complication events. Is he or she entitled to have an 3 answer to that question? 4 5 Α. I believe I already answered that. Yes. I think that would be something that could be communicated. 6 7 Number three, the distraught customer wants to know what is the official complication rate of the G2 8 filter compared to other filters. Is he or she entitled 9 10 to have an answer to that question? 11 No. It's speculation. Α. 12 Q. Why is it speculation? 13 Because I don't know the rates of other filters. Α. 14 Would Bard at least provide this distraught Q. 15 customer, this physician, with its official complication 16 rate of the G2 as of August 2008?

- 17 No. It's confidential information. **A**.
- 18 Okay. Number four, this distraught customer
- 19 wanted to know why are the fractures reported on Maude
- similar. Is he or she entitled to have an answer to that 20
- 21 question?
- 22 MR. BORANIAN: I relodge my objections. It's
- calling for speculation, improper form. 23
- 24 MR. JOHNSON: Your objection is preserved.
- I don't understand the question, so I don't know 25 Α.